

Overview of delegated acts concerning Directive 2014/59 (BRRD) and Regulation 806/2014 (SRMR)/ Prehľad vykonávacích predpisov k smernici 2014/59 (BRRD) a k nariadeniu 806/2014 (SRMR)

Delegated Regulations concerning Directive 2014/59/EU (BRRD)/ Delegované nariadenia týkajúce sa smernice 2014/59 (BRRD)		
EBA proposal/ návrh EBA	adopted by European Commission/ schválené Európskou komisiou ¹	legally binding text / právne záväzné znenie
<u>Definitions of critical functions and core business lines</u> (Article 2(2) of the BRRD) <u>Ex-post contributions</u> (Article 104(4) of the BRRD)	<u>Adopted on 2 February 2016 C (2016) 424</u>	<u>OJ L 131 on 20/05/2016</u> Effective from 09/06/2016
<u>Exclusion from bail-in</u> (Article 44 (11) of the BRRD)	<u>Adopted on 4 February 2016 C (2016) 379</u>	<u>OJ L 144 on 01/06/2016</u> Effective from 21/06/2016
<u>Safeguards for counterparties in partial transfer</u> (Article 76(4) of the BRRD)	<u>Adopted on 7 February 2017 C (2017) 597</u>	<u>OJ L 131 on 20/05/2017</u> Effective from 09/06/2017
<u>Ex ante contributions</u> (Article 103 (7) and (8) of the BRRD)	<u>Adopted on 21 October 2014 C (2014) 7674</u>	<u>OJ L 11 on 17/01/2015</u> Effective from 06/02/2015
Delegated Regulations concerning RTSs under BRRD/ Delegované nariadenia týkajúce sa regulačných technických predpisov vydaných na základe BRRD		
EBA proposal/ návrh EBA	adopted by European Commission/ schválené Európskou komisiou	legally binding text / právne záväzné znenie
<u>Simplified obligations</u> (Article 4(6) of the BRRD)		
<ul style="list-style-type: none"> • <u>Content of recovery plans</u> (Article 5(10) of the BRRD) • <u>Assessment of recovery plans</u> (Article 6(8) of the BRRD) • <u>Content of resolution plans</u> (Article 10(9) of the BRRD) • <u>Content of group resolution plans</u> (Article 12(6) of the BRRD) • <u>Assessment of resolvability</u> (Article 15(4) of the BRRD) • <u>Resolution colleges</u> (Article 88(7) of the BRRD) • <u>Conditions group financial support</u> (Article 23(2) of the BRRD) • <u>Independence of valuers</u> (Article 36(14) of the 	<u>Adopted on 23 March 2016 C (2016) 1691</u>	<u>OJ L 184 on 08/07/2016</u> Effective from 28/07/2016

¹ The EC adopted Delegated Regulations are subject to the right of the European Parliament and of the Council to express objections, in accordance with Article 290 (2) TFEU. Delegated Regulations will enter into force only when published in the Official Journal of the European Union/ Schválené delegované nariadenia podliehajú právomoci Európskeho parlamentu a Rady Európskej únie vzniesť námiety, v súlade s článkom 290 (2) Zmluvy o fungovaní Európskej únie. Delegované nariadenia nadobudnú účinnosť iba v prípade uverejnenia v Úradnom vestníku Európskej únie

BRRD)		
• <u>Contractual recognition of bail-in</u> (Article 55(3) of the BRRD)		
• <u>Notification requirements</u> (Article 82 (3) of the BRRD)		
<u>Valuation methodology</u> (Article 36 (15) of the BRRD)	<u>Adopted on 14 November 2017 C (2017) 7438</u>	<u>OJ L 67 on 09/03/2018</u> Effective from 29/03/2018
<u>Ex-post valuation</u> (Article 74(4) of the BRRD)	<u>Adopted on 14 November 2017 C (2017) 7436</u>	<u>OJ L 67 on 09/03/2018</u> Effective from 29/03/2018
<u>MREL criteria</u> (Article 45(2) of the BRRD)	<u>Adopted on 23 May 2016 C (2016) 2976</u>	<u>OJ L 237 on 03/09/2016</u> Effective from 23/09/2016
<u>Valuation of derivatives</u> (Article 49(5) of the BRRD)	<u>Adopted on 23 May 2016 C (2016) 2967</u>	<u>OJ L 228 on 23/08/2016</u> Effective from 12/09/2016
<u>Business reorganisation plans</u> (Article 52(12) of the BRRD)	<u>Adopted on 10 May 2016 C (2016) 2665</u>	<u>OJ L 228 on 23/08/2016</u> Effective from 12/09/2016
<u>Records of financial contracts</u> (Article 71 (8) of the BRRD)	<u>Adopted on 7 June 2016 C (2016) 3356</u>	<u>OJ L 258 on 24/09/2016</u> Effective from 14/10/2016

Implementing Acts concerning ITSs under the BRRD / Vykonávacie právne akty týkajúce sa vykonávacích technických predpisov vydaných na základe BRRD

EBA proposal/ návrh EBA	adopted by European Commission/ schválené Európskou komisiou	legally binding text / právne záväzné znenie
<u>Template for notification on proportionality</u> (Article 4(11) of the BRRD)	<u>Adopted on 16 June 2016 C (2016) 3641</u>	<u>OJ L 160 on 17/06/2016</u> Effective from 07/07/2016
<u>Templates for providing information for resolution plans</u> (Article 11(3) of the BRRD)	<u>Adopted on 17 June 2016 C (2016) 3674</u>	<u>OJ L 181 on 06/07/2016</u> Effective from 26/07/2016
<u>Revised templates for providing information for resolution plans</u> (Article 11(3) of the BRRD)	<u>Adopted on 23 October 2018 C (2018) 6841</u>	<u>OJ L 277 on 07/11/2018</u> Effective from 27/11/2018
<u>Disclosure group financial support</u> (Article 26(2) of the BRRD)	<u>Adopted on 9 June 2016 C (2016) 3440</u>	<u>OJ L 153 on 10/06/2016</u> Effective from 30/06/2016
<u>MREL reporting templates</u> (Article 45(17)of the BRRD)		<u>OJ L 60 on 02/03/2018</u> Effective from 22/03/2018

Legal acts concerning Regulation (EU) No 806/2014 (SRMR)/ Právne predpisy týkajúce sa nariadenia 806/2014 (SRMR)

proposal/ návrh	adopted /schválené	legally binding text / právne záväzné znenie
<u>Contributions to the administrative expenditures of SRB</u> (Article 65 (5) of the SRMR)	<u>Adopted on 14 September 2017 C (2017) 6047</u>	<u>OJ L 337 on 19/12/2017</u> Effective from 08/01/2018
<u>Ex-ante contributions - initial period</u> (Article 69(5) of the SRMR) <u>Deferral of extraordinary ex-post contributions</u> (Article 71(3) of the SRMR)	<u>Adopted on 17 December 2015 C (2015) 9016</u>	<u>OJ L 113 on 29/04/2017</u> Effective from 19/05/2017
<u>Ex ante contribution to the Fund</u> (Article 70 (7) of the SRMR)	<u>Adopted on 19 December 2014 by Council</u>	<u>OJ L 15 on 22/01/2015</u> Effective from 23/01/2015

Investment strategy of the Fund (Article 75(4) of the SRMR)	<u>Adopted on 16 December 2015 C (2015) 9013</u>	<u>OJ L 79 on 30/03/2016</u> Effective from 19/04/2016
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Aktualizované 19.04.2018/Latest update 19/04/2018