



LEGISLATION



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In 2011, Národná banka Slovenska continued to exercise its authority to make and transpose legal regulations in the areas of currency, cash circulation, foreign reserves management, the banking sector, the capital market, the insurance sector, and the pension saving sector.

AMENDMENTS MADE IN 2011 TO LAWS ON MATTERS FALLING WITHIN THE COMPETENCE OF NÁRODNÁ BANKA SLOVENSKA

Act No 483/2001 Coll. on banks (including amendments to certain laws), as amended, was amended in 2011 by Act No 46/2011 Coll., Act No 130/2011 Coll., Act No 314/2011 Coll., Act No 394/2011 Coll., Act No 520/2011 Coll. and Act No 547/2011 Coll.

Act No 566/2001 Coll. on securities and investment services (including amendments to certain laws) (the Securities Act), as amended, was amended in 2011 by Act No 46/2011 Coll., Act No 130/2011 Coll., Act No 394/2011 Coll. and Act No 520/2011 Coll.

Act No 492/2009 Coll. on payment services (including amendments to certain laws) was amended in 2011 by Act No 130/2011 Coll., Act No 394/2011 Coll., Act No 520/2011 Coll. and Act No 547/2011 Coll.

Act No 747/2004 Coll. on supervision of the financial market (including amendments to certain laws), as amended, was amended in 2011 by Act No 203/2011 Coll., Act No 394/2011 Coll. and Act No 547/2011 Coll.

Act No 8/2008 Coll. on insurance (including amendments to certain laws), as amended, was amended in 2011 by Act No 130/2011 Coll., Act No 332/2011 Coll., Act No 520/2011 Coll. and Act No 547/2011 Coll.

Act No 203/2011 Coll. on collective investment was amended in 2011 by Act No 547/2011 Coll., which will enter into force as of 1 January 2013.

Act No 43/2004 Coll. on retirement pension saving (including amendments to certain laws), as amended, was amended in 2011 by Act No 334/2011 Coll., Act No 546/2011 Coll. and Act No 547/2011 Coll.

Act No 650/2004 Coll. on supplementary pension saving (including amendments to certain laws), as amended, was amended in 2011 by Act No 520/2011 Coll.

Act No 381/2001 Coll. on compulsory contractual motor vehicle third party liability insurance (including amendments to certain laws), as amended, was amended in 2011 by Act No 520/2011 Coll.

Act No 530/1990 Coll. on bonds, as amended, was amended in 2011 by Act No 200/2011 Coll.

Act No 429/2002 Coll. on stock exchanges, as amended, was amended in 2011 by Act No 520/2011 Coll. and Act No 547/2011 Coll.

SECONDARY LEGISLATION ISSUED BY NÁRODNÁ BANKA SLOVENSKA IN 2012 UNDER ITS AUTHORITY TO ISSUE IMPLEMENTING LEGISLATION OF GENERAL APPLICATION

Regulation No 11/2011 Coll. of Národná banka Slovenska 11/2011 amending Regulation No 607/2008 of Národná banka Slovenska on certain details of cash circulation (including amendments to certain regulations).

Decree No 1/2011 of Národná banka Slovenska of 15 March 2011 on the Register of Bank Loans and Guarantees (Notification No 77/2011 Coll.).

Decree No 2/2011 of Národná banka Slovenska of 22 March 2011 on the submission of statements by insurance undertakings and reinsurance undertakings that are subject to group supervision (Notification No 98/2011 Coll.).

Decree No 3/2011 of Národná banka Slovenska of 26 April 2011 amending Decree No 4/2007 of



Národná banka Slovenska on banks' own funds and banks' capital requirements and on investment firms' own funds and investment firms' capital requirements, as amended (Notification No 145/2011 Coll.).

Decree No 4/2011 of Národná banka Slovenska of 26 April 2011 amending Decree No 6/2009 of Národná banka Slovenska on the submission of statements, reports and other disclosures by banks, branches of foreign banks, investment firms and branches of foreign investment firms for supervision purposes (including amendments to Decree No 26/2008 of Národná banka Slovenska on the submission of statements by banks, branches of foreign banks, investment firms and branches of foreign investment firms for statistical purposes) (Notification No 146/2011 Coll.).

Decree No 5/2011 of Národná banka Slovenska of 26 July 2011 on details concerning information to be provided to unit-holders in respect of a merger of common funds (Notification No 264/2011 Coll.).

Decree No 6/2011 of Národná banka Slovenska of 26 July 2011 on the elements of applications for prior approval from Národná banka Slovenska made under the Act on Collective Investment (Notification No 265/2011 Coll.).

Decree No 7/2011 of Národná banka Slovenska of 26 July 2011 on the own funds of management companies (Notification No 266/2011 Coll.).

Decree No 8/2011 of Národná banka Slovenska of 26 July 2011 on the content of the agreement in respect of a master fund and feeder fund and on the content of the internal conduct of business rules of the management companies managing the master fund and feeder fund (Notification No 267/2011 Coll.).

Decree No 9/2011 of Národná banka Slovenska of 23 August 2011 on criteria for the definition of liquid financial assets and on details about transferable securities and money market instruments embedding derivatives and about index-replicating common funds (Notification No 284/2011 Coll.).

Decree No 10/2011 of Národná banka Slovenska of 13 September 2011 on criteria, limits and restrictions with which short-term money market

funds and money market funds must comply (Notification No 302/2011 Coll.).

Decree No 11/2011 of Národná banka Slovenska of 27 September 2011 on risks and risk management, risk measurement, and the calculation of global exposure and counterparty risk (Notification No 317/2011 Coll.).

Decree No 12/2011 of Národná banka Slovenska of 8 November 2011 amending Decree No 1/2011 of Národná banka Slovenska on the Register of Bank Loans and Guarantees (Notification No 413/2011 Coll.).

Decree No 13/2011 of Národná banka Slovenska of 8 November 2011 on the method of determining the value of assets in a standard common fund and in a public special common fund and the method of calculating the value of the share of unit issues in common funds in which there is more than one unit issue (Notification No 428/2011 Coll.).

Decree No 14/2011 of Národná banka Slovenska of 15 November 2011 laying down certain details concerning the authorisation of payment institutions and electronic money institutions (Notification No 443/2011 Coll.).

Decree No 15/2011 of Národná banka Slovenska of 15 November 2011 on the submission of statements by payment institutions and electronic money institutions (Notification No 444/2011 Coll.).

Decree No 16/2011 of Národná banka Slovenska of 22 November 2011 on the elements of a banking licence application made by a bank or branch of a foreign bank and on how to prove compliance with the conditions for such licence (Notification No 454/2011 Coll.).

Decree No 17/2011 of Národná banka Slovenska of 22 November 2011 on the submission of statements by banks, branches of foreign banks, investment firms and branches of foreign investment firms for statistical purposes (Notification No 24/2012 Coll.).

Decree No 18/2011 of Národná banka Slovenska of 22 November 2011 on the submission of statements by management companies for common funds or sub-funds for statistical purposes (Notification No 23/2012 Coll.).



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Decree No 19/2011 of Národná banka Slovenska of 29 November 2011 amending Decree No 15/2010 of Národná banka Slovenska on disclosures by banks and branches of foreign banks (Notification No 506/2011 Coll.).

Decree No 20/2011 of Národná banka Slovenska of 29 November 2011 amending NBS Decree No 16/2010 on disclosures by investment

firms and branches of foreign investment firms (Notification No 507/2011 Coll.).

Decree No 21/2011 of Národná banka Slovenska of 6 December 2011 No on fee-related information provided to customers by banks or branches of foreign banks (Notification No 480/2011 Coll.).