

## Overview of EBA guidelines concerning resolution

| <b>Guidelines</b> (in english language)  | <b>Reference</b> (BRRD/SRM) | <b>Published by EBA</b> | <b>Date of confirmation of compliance</b> | <b>Date of enforcement</b> | <b>Form of implementation in Slovakia</b>  |
|--|-----------------------------|-------------------------|---|----------------------------|--|
| <a href="#"><u>Guidelines on the range of scenarios to be used in recovery plans</u></a><br><b>(EBA/GL/2014/06)</b>  | Article 5(7)<br>BRRD        | 18.07.2014              | 08.09.2014                                | 01.01.2015                 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on the types of tests, reviews or exercises that may lead to support measures under Article 32(4)(d)(iii) of the Bank Recovery and Resolution Directive</u></a><br><b>(EBA/GL/2014/09)</b>                 | Article 32(4)<br>BRRD       | 22.09.2014              | 26.11.2014                                | 01.01.2015                 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on the specification of measures to reduce or remove impediments to resolvability and the circumstances in which each measure may be applied under Directive 2014/59/EU</u></a><br><b>(EBA/GL/2014/11)</b> | Article 17(8)<br>BRRD       | 19.12.2014              | 10.03.2015                                | 01.04.2015                 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on the minimum list of qualitative and quantitative recovery plan indicators</u></a><br><b>(EBA/GL/2015/02)</b>  | Article 9(2)<br>BRRD        | 23.07.2015              | 14.09.2015                                | 31.07.2015                 | A date of confirmation of compliance and link to the guidelines was published on the website |

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| <a href="#"><u>Guidelines on triggers for use of early intervention measures pursuant to Article 27(4) of Directive 2014/59/EU</u></a><br><b>(EBA/GL/2015/03)</b>   | Article 27(4)<br>BRRD  | 29.07.2015 | 29.09.2015 | 01.01.2016 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on the determination of when the liquidation of assets or liabilities under normal insolvency proceedings could have an adverse effect on one or more financial markets under Article 42(14) of Directive 2014/59/EU</u></a><br><b>(EBA/GL/2015/05)</b> | Article 42(14)<br>BRRD | 20.05.2015 | 14.09.2015 | 01.08.2015 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on factual circumstances amounting to a material threat to financial stability and on the elements related to the effectiveness of the sale of business tool under Article 39(4) of Directive 2014/59/EU</u></a><br><b>(EBA/GL/2015/04)</b>             | Article 39(4)<br>BRRD  | 07.08.2015 | 14.09.2015 | 01.08.2015 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#"><u>Guidelines on the minimum list of services or facilities that are necessary to enable a recipient to operate a business transferred to it under Article 65(5) of Directive 2014/59/EU</u></a><br><b>(EBA/GL/2015/06)</b>   | Article 65(5)<br>BRRD  | 20.05.2015 | 14.09.2015 | 01.08.2015 | A date of confirmation of compliance and link to the guidelines was published on the website |

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| <a href="#">Guidelines on the interpretation of the different circumstances when an institution shall be considered as failing or likely to fail under Article 32(6) of Directive 2014/59/EU</a><br><b>(EBA/GL/2015/07)</b> | Article 32(6)<br>BRRD   | 06.08.2015 | 14.09.2015 | 01.01.2016 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">Guidelines on the application of simplified obligations under Article 4(5) of Directive 2014/59/EU</a><br><b>(EBA/GL/2015/16)</b>   | Article 4(5)<br>BRRD    | 16.10.2015 | 17.12.2015 | 17.12.2015 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">Guidelines specifying the conditions for group financial support under Article 23 of Directive 2014/59/EU</a><br><b>(EBA/GL/2015/17)</b>  | Article 23(3)<br>BRRD   | 08.12.2015 | 02.02.2016 | 09.02.2016 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">Guidelines on business reorganisation plans under Directive 2014/59/EU</a><br><b>(EBA/GL/2015/21)</b>   | Article 52 (13)<br>BRRD | 19.05.2016 | 15.06.2016 | 19.08.2016 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">EBA Guidelines specifying how information should be provided in summary or collective form</a><br><b>(EBA/GL/2016/03)</b>   | Article 84(7)<br>BRRD   | 19.07.2016 | 09.09.2016 | 19.01.2017 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">EBA Guidelines on the rate of conversion of debt to equity in bail-in</a>   | Article 50(4)<br>BRRD   | 11.07.2017 | 17.08.2017 | 11.01.2018 | A date of confirmation of compliance and link to the guidelines was                          |

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| <b>(EBA/GL/2017/03)</b>  |                       |            |            |            | published on the website   |
| <a href="#">EBA Guidelines on the treatment of shareholders in bail-in or the write-down and conversion of capital instruments</a><br><b>(EBA/ GL/2017)</b>  | Article 47(6)<br>BRRD | 11.07.2017 | 17.08.2017 | 11.01.2018 | A date of confirmation of compliance and link to the guidelines was published on the website |
| <a href="#">EBA Guidelines concerning the interrelationship between the BRRD sequence of writedown and conversion and CRR/CRD</a><br><b>(EBA/GL/2017/02)</b> | Article 48(6)<br>BRRD | 11.07.2017 | 17.08.2017 | 11.01.2018 | A date of confirmation of compliance and link to the guidelines was published on the website |