

3/2025
DECREE
of Národná banka Slovenska
of 24 March 2025
on reporting by crypto-asset service providers

Národná banka Slovenska, in accordance with Section 5 of Act No 248/2024 on certain obligations and powers in the field of crypto-assets (and amending certain laws) and Section 35(2) of Act No 747/2004 on financial market supervision (and amending certain laws), as amended, has adopted this Decree:

Section 1

(1) Crypto-asset service providers (CASPs) authorised to operate as such shall submit the following statements:

- a) **Balance sheet and income statement CASP (BIL) 01-04**, the template and methodology for which are provided in Annex 1;
- b) **Report on own funds and prudential requirements CASP (VZ) 02-04**, the template and methodology for which are provided in Annex 2;
- c) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- d) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- e) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5;
- f) **Report on the crypto-asset service provider CASP (ZI) 06-01**, the template and methodology for which are provided in Annex 6;
- g) **Report on AML/CFT compliance in the provision of crypto-asset services CASP (AML) 07-02**, the template and methodology for which are provided in Annex 7;
- h) **Notification of changes to the management body or responsible persons CASP (ZME) 08-99**, the template and methodology for which are provided in Annex 8.

(2) Credit institutions authorised to provide crypto-asset services shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04** the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5.

(3) Central securities depositories authorised to provide crypto-asset services shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5;
- d) **Report on AML/CFT compliance in the provision of crypto-asset services CASP (AML) 07-02**, the template and methodology for which are provided in Annex 7.

(4) Investment firms authorised to provide crypto-asset services shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5.

(5) Electronic money institutions authorised to provide crypto-asset services shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5.

(6) UCITS management companies and alternative investment fund managers authorised to provide crypto-asset services shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5.

(7) Market operators authorised to operate a trading platform for crypto-assets shall submit the following statements:

- a) **Report on crypto-asset services and fees CASP (SLU) 03-04**, the template and methodology for which are provided in Annex 3;
- b) **Report on wallet addresses CASP (ADR) 04-04**, the template and methodology for which are provided in Annex 4;
- c) **Report on complaints CASP (STA) 05-04**, the template and methodology for which are provided in Annex 5;
- d) **Report on AML/CFT compliance in the provision of crypto-asset services CASP (AML) 07-02**, the template and methodology for which are provided in Annex 7.

Section 2

(1) The statements referred to in Section 1(1)(a) and (b) shall be prepared on a quarterly basis as at the last day of the respective calendar quarter.

(2) The statements referred to in Section 1(1)(c) to (e), (2), (3)(a) to (c), (4) to (6) and (7)(a) to (c) shall be prepared on a quarterly basis as at the last day of the respective calendar quarter.

(3) The statement referred to in Section 1(1)(f) shall be prepared on an annual basis as at the last day of the respective calendar year.

(4) The statement referred to in Section 1(1)(g), (3)(d) and (7)(d) shall be prepared on a semi-annual basis as at the last day of the respective calendar half-year.

(5) The statement referred to in Section 1(1)(h) shall be prepared as at the date of the occurrence reported in the notification.

Section 3

(1) The statements referred to in Section 1 shall be submitted electronically via the Statistics Collection Portal information system.

(2) The statements referred to in Section 1(1)(a) and (b) shall be submitted within 30 calendar days after the reporting date, except for those prepared as at the last day of the respective calendar year. Where the 30th calendar day is a Saturday, Sunday or other non-working day, the statements referred to in the first sentence shall be submitted on the following working day.

(3) The statements referred to in Section 1(1)(a) and (b) which are prepared as at the last day of the respective calendar year shall be submitted as follows:

- a) as an interim report which is based on preliminary data known as at the last day of the respective calendar year and adjusted for accounting events recorded between 1 January and 10 January of the following calendar year, and this report shall be submitted by 30 January of the following calendar year;
- b) as a regular report based on data from the annual financial statements, and this report shall be submitted within 30 calendar days after the auditing of the annual financial statements or, if the 30th calendar day is a Saturday, Sunday or other non-working day, on the following working day;
- c) as an extraordinary report following an extension of the deadline for the preparation of the regular financial statements and based on data as at the last day of the respective calendar year known as at 31 March of the following calendar year, and this report shall be submitted by 30 April of the following calendar year.

(4) Where the accounting period is a financial year not coinciding with the calendar year, the deadlines for the preparation and submission of the statements referred to in Section 1(1)(a) and (b) shall be extended by the period between the end of the calendar year and the end of the financial year.

(5) The statements referred to in Section 1(1)(c) to (g) and (2) to (7) shall be submitted within 30 calendar days after the reporting date. Where the 30th calendar day is a Saturday, Sunday or other non-working day, the statements referred to in the first sentence shall be submitted on the following working day.

(6) The statement referred to in Section 1(1)(h) shall be submitted within five working days after the date as at which it is prepared.

Section 4

This decree takes effect on 1 July 2025.

Peter Kažimír
Governor

Issuing unit: Financial Technology and Innovations Department

TEMPLATE

Balance sheet and income statement

Balance sheet

Name of crypto-asset service provider:

ID (IČO) of crypto-asset service provider:

Reporting date:

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Part A

(in euro)

	R. no.	Overall data	
		Adjustments and provisions	Net
a	b	1	2
ASSETS			
Non-current intangible assets	1		
of which, Software	2		
Acquired intangible assets	3		
Non-current tangible assets	4		
of which, Property, plant and equipment	5		
Inventories	6		
Long-term receivables	7		
of which, Trade receivables	8		
Short-term receivables	9		
Financial assets	10		
of which, Financial Instruments	11		
Non-current financial assets	12		
Current financial assets	13		
Financial accounts	14		
of which, Cash and cash equivalents	15		
Bank accounts	16		
Accruals and deferrals	17		
TOTAL ASSETS	18		
of which, Crypto-assets	19		
Claims arising from crypto-assets	20		
LIABILITIES			
Equity	21		
Share capital	22		
Own shares	23		
Change in share capital	24		
Receivables for subscribed equity	25		
Capital funds	26		
Share premium	27		
Statutory reserve fund	28		
Other capital funds	29		
Other funds from profit after tax	30		
Revaluation differences	31		
of which, assets and liabilities	32		
financial instruments against other items of the comprehensive income statement	33		
Retained income	34		
Profit/loss pending approval	35		
Profit/loss for the accounting period after tax	36		
Liabilities	37		
Provisions	38		
Liabilities to banks and central banks	39		
of which, long-term bank loans	40		
Overdrafts	41		
Other short-term loans	42		
Financial liabilities	43		
of which, Securities issued by the entity	44		
Derivatives	45		
Trade payables	46		
Tax liabilities	47		
Other liabilities	48		
Subordinated financial liabilities	49		
Accruals and deferrals	50		
TOTAL LIABILITIES	51		
of which, Crypto-assets	52		
Liabilities arising from crypto-assets	53		

Part B

Crypto-asset accounting

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R. no.	Type of crypto-asset	Balance sheet/off-balance sheet	Balance sheet/off-balance sheet item	Value in euro
a	1	2	3	4
1				

Name of reported item	R. no.	Total
a	b	1
Operating revenues	1	
of which, Fee and commission income	2	
of which, from crypto-assets services	3	
Operating expenses	4	
of which, Personnel costs	5	
Expenses relating to fees and commissions	6	
of which, from crypto-assets services	7	
Taxes and fees	8	
Provisions	9	
Depreciation and amortisation	10	
of which, depreciation of intangible assets	11	
Operating profit or loss (r. 1 - r. 4)	12	
Financial income	13	
of which, Crypto-asset staking income	14	
Crypto-asset loan income	15	
Crypto-asset exchange income	16	
Interest income	17	
Reversal of provisions for financial assets	18	
of which, for crypto-assets	19	
Operating expenses	20	
of which, Crypto-asset loan expenses	21	
Interest expenses	22	
Crypto-asset exchange expenses	23	
Provisions for financial assets	24	
of which, for crypto-assets	25	
Financial profit or loss (r. 13 - r. 20)	26	
of which, Profit or loss from financial assets and liabilities, securities, derivatives, foreign currencies	27	
Profit or loss from crypto-asset operations	28	
Pre-tax profit or loss for the accounting period (r. 12 - r. 26)	29	
Income tax	30	
of which, Income tax payable	31	
Deferred income tax	32	
Distribution of profits to shareholders	33	
After-tax profit or loss for the accounting period (r. 29 - r. 30 - r. 33)	34	
Fixed operating costs	35	

Methodology for preparation
Balance sheet and Income statement

1. General part

- 1.1 This statement regulates the submission of information on the current financial situation of a crypto-asset service provider.
- 1.2 If the statement reporting date is the last day of the calendar year, information on whether it is an interim, regular or extraordinary report shall be included therein using an indicator of Reporting status in the Version attributes from the OBSERV_STATUS code list in IS ŠZP, selecting 'Preliminary' ('Predbežne') for an interim report, 'Common' ('Bežne') for a regular report or 'Definitive' ('Definitívne') for an extraordinary report.
- 1.3 If the statement is a corrective version, the reason for the correction shall be specified in the version comments in IS ŠZP.
- 1.4 A zero is entered if the value of an item is zero. If data for an item does not exist, the entry shall be left blank.
- 1.5 Financial values shall be reported in euro specified to up to two decimal places.

2. Special part

- 2.1 The information in Part B concerning individual types of crypto-assets shall be entered based on their classification within the balance sheet with specification of whether they are asset, liability or off-balance-sheet items.
- 2.2 Entries under '**Type of crypto-asset**' in Part B shall use the applicable code from grouping CK066CASP01_UCT in IS ŠZP under Metadata / Groupings.
- 2.3 Entries under '**Balance sheet/off-balance sheet**' in Part B shall use the applicable code from grouping CK029CASP01_UCT in IS ŠZP under Metadata / Groupings.
- 2.4 Entries under '**Balance sheet/off-balance sheet item**' in Part B shall use the applicable code from grouping CK065CASP01_UCT in IS ŠZP under Metadata / Groupings.
- 2.5 The data in Part C are presented cumulatively.

3. Abbreviations used

R. no. – Row number

- IS ŠZP – Statistics Collection Portal information system (from the Slovak *‘informačný systém Štatistický zberový portál’*)
- IČO – organisation identification number (an official identifier in Slovakia standing for *‘identifikačné číslo organizácie’*)

TEMPLATE

Report on own funds and prudential requirements**Own funds**

Name of crypto-asset service provider: _____
 ID (IČO) of crypto-asset service provider: _____
 Reporting date: _____

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(in euro)

Part A

Name	R. no.	Value
a	b	1
Common Equity Tier 1 (r. 2 + r. 7 + r. 8 + r. 9 + r. 10 - r. 11)	1	
Capital instruments eligible as CET1 (r. 3 to r. 6)	2	
Paid-up capital instruments	3	
Share premium	4	
Own CET1 instruments (-)	5	
Actual or contingent obligations to purchase own CET1 instruments	6	
Retained earnings	7	
Accumulated other comprehensive income	8	
Other reserves	9	
Funds for general banking risk	10	
Deductions from Common Equity Tier 1 (r. 12 to r. 16)	11	
Goodwill	12	
Other intangible assets	13	
Deferred tax assets, that rely on future profitability and do not arise from temporary differences net of associated tax liabilities	14	
Deferred tax assets, that rely on future profitability and arise from temporary differences	15	
Other deductions from Common Equity Tier 1	16	

Prudential requirements

Fixed overheads of the preceding year	17	
Were the prudential safeguards sufficient throughout quarter ending on the reporting date?	18	
Explanation of why the prudential safeguards were not sufficient (if the answer to the question in r. 18 is "No")	19	

Insurance policy or comparable guarantee

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R. no.	Identifier of the insurance policy or comparable guarantee	ID of the provider of the insurance policy or comparable guarantee	ID type	Guarantee type	Name of the provider of the insurance policy or comparable guarantee	Effective date of the insurance policy or comparable guarantee	End date of the insurance policy or comparable guarantee	Notice period (number of days)	Value of coverage in euro
a	1	2	3	4	5	6	7	8	9
1									

Part C

Attached documents

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Methodology for preparation Report on own funds and prudential requirements

1. General part

- 1.1 The purpose of this statement is to provide information on the own funds and prudential requirements of a crypto-asset service provider under Article 67 of the MiCA Regulation.
- 1.2 If the statement reporting date is the last day of the calendar year, information on whether it is an interim, regular or extraordinary report shall be included therein using an indicator of Reporting status in the Version attributes from the OBSERV_STATUS code list in IS ŠZP, selecting 'Preliminary' ('Predbežne') for an interim report, 'Common' ('Bežne') for a regular report or 'Definitive' ('Definitívne') for an extraordinary report.
- 1.3 If the statement is a corrective version, the reason for the correction shall be specified in the version comments in IS ŠZP.
- 1.4 A zero is entered if the value of an item is zero. If the relevant data does not exist, the entry shall be left blank.
- 1.5 Data reported in the statement in euro may be specified to up to two decimal places.

2. Special part

- 2.1 In part A the item '**Retained earnings**' refers to profits and losses transferred after the use of the final profit or loss in accordance with the applicable accounting framework.
- 2.2 If a crypto-asset service provider prepares its own financial statements under Regulation (EC) No 1606/2002 of the European Parliament and of the Council of 19 July 2002 on the application of international accounting standards (Special edition of OJ, Chapter 13 / Volume 29; OJ L 243, 11.9.2002) as amended, the item in Part A '**Accumulated other comprehensive income**' shall report data in accordance with Article 4(1)(100) of Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012 (OJ L 176, 27.6.2013), as amended.
- 2.3 The item in Part A '**Other intangible assets**' reports intangible assets in accordance with the applicable accounting standard, excluding goodwill. The amount to be reported in this row shall correspond to the amount reported in the balance sheet of intangible assets others than goodwill.
- 2.4 The data in Part A rows 17 to 19 shall be reported in accordance with Section 67(3) of the MiCA Regulation. In the case of crypto-asset service providers who have not been providing services for more than one year from the start date of their operations, the item '**Fixed overheads of the preceding year**' shall report the projected fixed overheads for the first 12 months of service provision as submitted with their application for authorisation to provide crypto-asset services.

- 2.5 The entry for Part A row 18 shall contain the answer 'Yes' or 'No' using the applicable code from grouping CK001Z02 in IS ŠZP under Metadata / Groupings.
- 2.6 Part B of the statement shall be completed if the prudential safeguards referred to in Article 67(1) of the MiCA Regulation take the form of or include an insurance policy or comparable guarantee as referred to in Article 67(4)(b) of the MiCA Regulation.
- 2.7 Entries under '**ID type**' in Part B shall use the applicable code from grouping CK177CASP02_PZ in IS ŠZP under Metadata / Groupings.
- 2.8 Entries under '**Guarantee type**' in Part B shall use the applicable code from grouping CK065CASP02_PZ in IS ŠZP under Metadata / Groupings.
- 2.9 In Part C, '**Attached documents**' provides a space to attach several formats of documents such as pdf, doc, jpg. Information is provided in this part if the crypto-asset service provider increases equity, submits an insurance certificate or insurance policy, information on the termination of an insurance policy, new insurance or a new insurance policy or a new comparable guarantee or a notice of termination of an insurance policy or comparable guarantee. An attached document shall be given a name based on the event or legal act to which it relates, e.g. 'Origin_of_Funds', 'Insurance_Certificate', 'Insurance_Policy', 'Confirmation_of_Comparable_Guarantee', 'Comparable_Guarantee', 'Notice_of_Termination'.

3. Abbreviations used

MiCA Regulation	– Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937 (OJ L 150, 9/6/2023) as amended
R. no.	– Row number
CET1	– Common Equity Tier 1
IS ŠZP	– Statistics Collection Portal information system (from the Slovak 'informačný systém Štatistický zberový portál')
IČO	– organisation identification number (an official identifier in Slovakia standing for ' <i>identifikačné číslo organizácie</i> ')
ID	– identifier

TEMPLATE

Report on crypto-asset services and fees
Crypto-asset services

Name of crypto-asset service provider:
ID (ICO) of crypto-asset service provider:
Reporting date:

Part A

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(number of units, volume and value in euro)

Service	R. no.	Clients							
		Residents				Non-residents			
		Natural person	Natural person - entrepreneur	Crypto-asset service provider	Legal person - other	Natural person	Natural person - entrepreneur	Crypto-asset service provider	Legal person - other
a	b	1	2	3	4	5	6	7	8
Reception and transmission of orders for crypto-assets on behalf of clients									
Number of clients (to whom the service was provided during the quarter)	1								
Number of orders received and transmitted (in quarter)	2								
Volume of orders received and transmitted (in quarter)	3								
Number of pending orders (as of reporting date)	4								
Execution of orders for crypto-assets on behalf of clients (also outside a trading platform)									
Number of clients (to whom the service was provided during the quarter)	5								
Number of orders executed: on a trading platform (in quarter)	6								
outside a trading platform (in quarter)	7								
Volume: on a trading platform (in quarter)	8								
outside a trading platform (in quarter)	9								
Exchange of crypto-assets for funds									
Number of clients (to whom the service was provided during the quarter)	10								
Number of exchanges (in quarter)	11								
Volume (in quarter)	12								
Exchange of crypto-assets for other crypto-assets									
Number of clients (to whom the service was provided during the quarter)	13								
Number of exchanges (in quarter)	14								
Volume (in quarter)	15								
Overview of portfolio management									
Number of clients (as of reporting date)	16								
Volume of assets under management (as of reporting date): E-money tokens	17								
Asset-referenced tokens	18								
Other crypto-assets	19								
Funds	20								
Receivables	21								
Liabilities	22								
Other	23								
Provision of transfer services for crypto-assets on behalf of clients									
Number of clients (to whom the service was provided during the quarter)	24								
Number of transfers (in quarter)	25								
Volume (in quarter)	26								
Provision of advice on crypto-assets									
Number of clients (to whom the service was provided during the quarter)	27								
Provision of custody and administration for crypto-assets on behalf of clients									
Number of clients (as of reporting date)	28								
Volume: in hot wallets (as of reporting date)	29								
in cold wallets (as of reporting date)	30								
Volume (as of reporting date): E-money tokens	31								
Asset-referenced tokens	32								
Other crypto-assets	33								
Volume of new deposits of crypto-assets for custody and administration during the reporting period	34								
Volume of withdrawals of crypto-assets from custody and administration during the reporting period	35								
Operation of a trading platform for crypto-assets									
Number of clients whose assets the crypto-asset service provider:									
traded without matched principal trading (in quarter)	36								
traded with matched principal trading (in quarter)	37								
Value of client transactions in which the crypto-asset service provider:									
did not engage in in matched principal trading (in quarter)	38								
engaged in matched principal trading (in quarter)	39								

Placing of crypto-assets during the reporting period

(number of units, volume in euro)

Crypto-assets	R. no.	Number of different crypto-assets placed during the reporting period	Volume of crypto-assets placed during the reporting period
a	b	1	2
E-money tokens	1		
Asset-referenced tokens	2		
Other crypto-assets	3		

Information on client contracts

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(numbers of units)

Data title	R. no.	Data
a	b	1
Number of clients with more than one account	1	
If clients have multiple accounts, what is the reason?	2	
Number of new clients in the reporting period	3	
Number of clients who terminated their contractual relationship during the reporting period	4	
Number of clients with whom the crypto-asset service provider terminated a contractual relationship during the reporting period	5	
Number of natural persons providing advice on behalf of the crypto-asset service provider (as of reporting date)	6	

Part D

Holdings of client funds other than e-money tokens – fiat currencies

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R. no.	ID of payment service provider	ID type of payment service provider	Name of payment service provider	Client type	D currency	Value in D currency	Value in euro
a	1	2	3	4	5	6	7
1							

Part E

Holdings of funds other than e-money tokens – fiat currencies – funds of the reporting entity

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R. no.	ID of payment service provider	ID type of payment service provider	Name of payment service provider	D currency	Value in D currency	Value in euro
a	1	2	3	4	5	6
1						

Part F

Crypto-asset transactions in the reporting period (received or sent)

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	R. no.	Data
	b	1
Means by which the company receives crypto-asset deposits from clients	1	
Number of crypto-asset transactions in the reporting period	2	
Total value of crypto-asset transactions in euro in the reporting period based on values as of the transaction date	3	
Total value of transfers from unknown addresses in the reporting period converted to euro as of the transaction date	4	
Total value of transfers to unknown addresses in the reporting period converted to euro as of the transaction date	5	
Controls used for transfers from or to unknown addresses	6	
Number of transfers from third-party wallets of which the client is not the beneficial owner	7	
Total value of transfers from third-party wallets of which the client is not the beneficial owner in the reporting period converted to euro as of the transaction date	8	
Notes on transfers from third-party wallets	9	
Total value of crypto-asset transactions with clients from high-risk countries in euro in the reporting period based on values as of the transaction date	10	

Fees charged to clients

Fees of the crypto-asset service provider	R. no.	Clients			
		Natural person	Natural person - entrepreneur	Crypto-asset service provider	Legal person - other
a	b	1	2	3	4
Advice fees	1				
Portfolio management fees	2				
Crypto-asset custody and administration fee	3				
Other administration fees	4				
Profit fee	5				
Withdrawal fees	6				
Transaction fees (trading platform)	7				
Fees for execution of orders for crypto-assets on behalf of clients	8				
Fees for reception and transmission of orders for crypto-assets on behalf of clients	9				
Fees for exchange of crypto-assets for funds	10				
Fees for exchange of crypto-assets for other crypto-assets	11				
Crypto-asset transfer fees	12				
Fees for accepting crypto-assets for trading on a trading platform	13				
Other fees for placement of crypto-assets	14				
Entry fees (including registration fee)	15				
Other	16				

Management of the private keys of wallets holding clients' crypto-assets

R. no.		Client type			
		Natural person	Natural person - entrepreneur	Crypto-asset service provider	Legal person - other
	a				
	b				
		1	2	3	4
1	The crypto-asset service provider is able to execute crypto-asset transactions independently				
2	The crypto-asset service provider is able to execute crypto-asset transactions only jointly with client (multisig)				
3					
4					

Private key management

	R. no.	Data
a	b	1
Number of persons with private key access (including partial access)	1	
Minimum number of persons necessary to send client crypto-assets	2	
Method used to protect the crypto-assets and the private wallet keys of clients	3	
Method used to protect the crypto-assets and private wallet keys of the crypto-asset service provider	4	

Part J

Description of the security for crypto-assets and private wallet keys

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Methodology for preparation

Report on crypto-asset services and fees

1. General part

- 1.1 The purpose of this statement is to provide information on crypto-asset services under the MiCA Regulation, the fees charged to clients for these services, the holdings of funds belonging to clients and the crypto-asset service provider other than e-money tokens, clients' crypto-assets placed in the custody of a third party, crypto-asset transactions and the management of the private keys of wallets holding clients' crypto-assets.
- 1.2 The statement covers crypto-assets that are covered by the MiCA Regulation. The statement shall not include information on unique and non-fungible crypto-assets.
- 1.3 A zero is entered if the value of an item is zero. If data for an item does not exist, the entry shall be left blank. All items other than those marked in grey are mandatory.
- 1.4 'Reporting period' means the calendar quarter ending on the reporting date.
- 1.5 If the statement is a corrective statement, the reason for the correction shall be specified in the version comments in IS ŠZP.

2. Special part

Part A Crypto-asset services

- 2.1 Part A sets out the basic information on the services of the crypto-asset service provider. The first table breaks the client data down into two categories of client: residents and non-residents, and these categories are further broken down into natural persons, natural persons – entrepreneurs, crypto-asset service providers and other legal persons.
- 2.2 Transactions, orders, transfers, exchanges and trades shall be reported at their value excluding fees. The value of individual transactions, orders, transfers, exchanges or trades shall be converted to euro using the exchange rate (prices) of the crypto-assets applicable at the time of the transaction, order, transfer, exchange or transaction.
- 2.3 In row 2, '**Number of orders received and transmitted**' refers to the number of orders for crypto-assets received and transmitted on behalf of clients during the reporting period.

- 2.4 In row 3, **'Volume of orders received and transmitted'** refers to the total value (after conversion to euro) of orders for crypto-assets received and transmitted on behalf of clients during the reporting period converted to euro. If an order has not yet been executed, the volume is calculated as the value of the order on the client's side converted to euro as of the date of this statement's preparation.
- 2.5 In row 4, **'Number of pending orders'** reports the number of orders for crypto-assets that have been received but not yet executed on behalf of clients as of the reporting date, including orders received in previous reporting periods.
- 2.6 In row 6, **'Number of orders executed: on a trading platform'** refers to the number of orders for crypto-assets executed on a trading platform on behalf of clients during the reporting period.
- 2.7 In row 7, **'Number of orders executed: outside a trading platform'** refers to the number of orders for crypto-assets executed outside a trading platform on behalf of clients during the reporting period.
- 2.8 In row 8, **'Volume: on a trading platform'** refers to the total value (after conversion to euro) of orders for crypto-assets executed on a trading platform on behalf of clients during the reporting period.
- 2.9 In row 9, **'Volume: outside a trading platform'** refers to the total value (after conversion to euro) of orders for crypto-assets executed outside a trading platform on behalf of clients during the reporting period.
- 2.10 In row 11, **'Number of exchanges'** refers to the number of exchanges of crypto-assets for funds in transactions with clients during the reporting period.
- 2.11 In row 12, **'Volume'** refers to the total value (after conversion to euro) of the exchanges of crypto-assets for funds in transactions with clients during the reporting period.
- 2.12 In row 14, **'Number of exchanges'** refers to the number of exchanges of crypto-assets for other crypto-assets in transactions with clients during the reporting period.
- 2.13 In row 15, **'Volume'** refers to the total value (after conversion to euro) of the exchanges of crypto-assets for other crypto-assets in transactions with clients during the reporting period.

- 2.14 Rows 17 to 23 relate to the total value (after conversion to euro) of assets under management broken down by types of assets under management through portfolio management on crypto-assets as of the date of reporting date. In row 19, **'Other crypto-assets'** lists the values of crypto-assets covered by the MiCA Regulation that are not e-money tokens or asset-referenced tokens.
- 2.15 In row 25, **'Number of transfers'** refers to the number of crypto-asset transfers carried out on behalf of clients during the reporting period.
- 2.16 In row 26, **'Volume'** refers to the total value (after conversion to euro) of crypto-asset transfers carried out on behalf of clients during the reporting period.
- 2.17 In row 29 **'Volume in hot wallets'** refers to the total value (after conversion to euro) of clients' crypto-assets held in custody by the crypto-asset service provider in hot wallets as of the date of reporting date.
- 2.18 In row 30 **'Volume in cold wallets'** refers to the total value (after conversion to euro) of clients' crypto-assets held in custody by the crypto-asset service provider in cold wallets as of the date of reporting date.
- 2.19 Rows 31 to 33 refer to the total value of the crypto-assets of clients held in custody by the crypto-asset service provider broken down into individual types of crypto-assets on each row. In row 33, **'Other crypto-assets'** refers to the values of crypto-assets covered by the MiCA Regulation that are not e-money tokens or asset-referenced tokens.
- 2.20 In row 36, **'Number of clients whose assets the crypto-asset service provider traded without matched principal trading'** refers to the number of clients that used the crypto-asset trading platform during the reporting period with whom the crypto-asset service provider did not engage in matched principal trading.
- 2.21 In row 37, **'Number of clients whose assets the crypto-asset service provider traded with matched principal trading'** refers to the number of clients that used the crypto-asset trading platform during the reporting period with whom the crypto-asset service provider engaged in matched principal trading.
- 2.22 In row 38, **'Value of client transactions in which the crypto-asset service provider did not engage in matched principal trading'** refers to the value (after conversion to euro) of transactions executed on the crypto-asset trading platform

during the reporting period in which the crypto-asset service provider did not engage in matched principal trading.

- 2.23 In row 39, **'Value of client transactions in which the crypto-asset service provider engaged in matched principal trading'** refers to the value (after conversion to euro) of transactions executed on the crypto-asset trading platform during the reporting period in which the crypto-asset service provider engaged in matched principal trading.

Part B Placing of crypto-assets during the reporting period

- 2.24 Part B reports the number and volume of crypto-assets placed during the reporting period broken down by crypto-asset type. Entries under **'Number of different crypto-assets placed during the reporting period'** show the numbers of different crypto-assets placed; for example, if two crypto-assets were placed during the reporting quarter – USD_A with 1,000 tokens and USD_B with 2,000 tokens – the entry would state 2.

- 2.25 Entries under **'Volume of crypto-assets placed during the reporting period'** show the total value in euro of all placed crypto-assets of a given type.

Part C Information on client contracts

- 2.26 Part C provides information on client contracts. In row 1 **'Number of clients with more than one account'** refers to the number of clients, who enter into relationships with the crypto-asset service provider as multiple different entities.

Part D Holdings of client funds other than e-money tokens – fiat currencies

- 2.27 Part D reports the total value of client funds other than e-money tokens broken down by currency, client type and the payment service provider with whom the funds are deposited.
- 2.28 As multiple fiat currencies may be kept by a payment service provider for various types of clients, an unlimited number of rows can be entered but the combination of data in columns 1, 2, 4 and 5 should be unique in this part.
- 2.29 Entries under **'ID type of payment service provider'** shall use the applicable ID type code from grouping CK177CASP03_FIAT in IS ŠZP under Metadata / Groupings.

2.30 Entries in the column '**Client type**' shall use the applicable code from grouping CK033CASP03_FIAT in IS ŠZP under Metadata / Groupings.

2.31 Entries under '**D currency**' show the code of the denominated currency in which the account is kept. The appropriate currency code shall be selected from the grouping MENY in IS ŠZP under Metadata / Groupings.

Part E Holdings of funds other than e-money tokens – fiat currencies – funds of the reporting entity

2.32 Part E reports the total value of funds of the reporting entity other than e-money tokens broken down by the payment service provider with whom the funds are deposited and the currency. An unlimited number of rows can be entered but the combination of data in columns 1, 2 and 4 should be unique in this part.

2.33 Entries under '**ID type of payment service provider**' shall use the ID type code applicable to the payment service provider from grouping CK177CASP03_FIAT in IS ŠZP under Metadata / Groupings.

2.34 Entries under '**D currency**' show the code of the denominated currency, in which the account is kept. The appropriate currency code shall be selected from the grouping MENY in IS ŠZP under Metadata / Groupings.

Part F Crypto-asset transactions in the reporting period (received or sent)

2.35 Part F reports information on crypto-asset transactions of the reporting crypto-asset service provider and their clients in the reporting period (including those sent to the crypto-asset service provider's wallets). For the purpose of preparing this statement, 'transaction' means either a change in the natural person or legal person entitled to crypto-assets, generally because of the transfer of crypto-assets from one distributed ledger address to another, though such transactions can also be settled outside the distributed ledger, or the transfer of crypto-assets from one distributed ledger address to another without a change in the natural person or legal entity entitled to the crypto-assets. When one crypto-asset is exchanged for another, this is generally regarded as two transactions, whereas the exchange of crypto-assets for funds is regarded as a single transaction. If implementation of the client's order for crypto-assets requires the crypto-asset service provider to carry out additional internal transfers, such as smart contracts, these internal transfers shall not be regarded as transactions.

- 2.36 In row 1, **'Means by which the company receives crypto-asset deposits from clients'** refers to the means by which the crypto-asset service provider receives deposits of crypto-assets from clients, whether it also accepts self-hosted wallets or offers only custody and administration of crypto-assets, or both, or another option. The appropriate code shall be selected from grouping CK237CASP03_TRA in IS ŠZP under Metadata / Groupings.
- 2.37 For the purpose of preparing this statement, 'unknown address' in rows 4 to 6 means an address for which the crypto-asset service provider is unable to identify the owner with a sufficient degree of certainty.
- 2.38 For the purpose of preparing this statement, 'high-risk countries' in row 10 means countries that are on the list of high-risk countries under Commission Delegated Regulation (EU) 2016/1675 of 14 July 2016 supplementing Directive (EU) 2015/849 of the European Parliament and of the Council by identifying high-risk third countries with strategic deficiencies (OJ L 254, 20.9.2016), amended.

Part G Fees charged to clients

- 2.39 Part G reports the fees that the crypto-asset service provider charged to clients for crypto-asset services during the reporting period.

Part H Management of the private keys of wallets holding clients' crypto-assets

- 2.40 In row 1, **'The crypto-asset service provider is able to execute crypto-asset transactions independently: Number of clients'** refers to the number of clients whose access to crypto-assets is managed by means, e.g. private cryptographic wallet keys, that are controlled by the crypto-asset service provider alone or through a third party and consequently the crypto-asset service provider could execute crypto-asset transactions without any involvement of the client in such activities. The entry on this line shows the number of clients for whom the crypto-asset service provider is able to create new cryptographic wallet keys and execute crypto-asset transactions without the client's involvement.
- 2.41 In row 2 **'The crypto-asset service provider is able to execute crypto-asset transactions independently: Value of crypto-assets held'** refers to the value (after conversion to euro) of crypto-assets held in wallets for the clients referred to in the previous row as of the reporting date.
- 2.42 In row 3 **'The crypto-asset service provider is able to execute crypto-asset transactions only jointly with client (multisig): Number of clients'** refers to the number of clients whose access to crypto-assets is managed by means, e.g. private cryptographic wallet keys, that are controlled by the crypto-asset service provider and the client jointly and it is not possible for the crypto-asset service provider to

execute crypto-asset transactions without the consent of the client who owns the crypto-assets.

- 2.43 In row 4 **‘The crypto-asset service provider is able to execute crypto-asset transactions only jointly with client (multisig): Value of held crypto-assets’** refers to the total value in euro of the crypto-assets held in wallets for the clients referred to in the previous row as of the reporting date.

Part I Private key management

- 2.44 This part of the statement reports information on the management of control of access to crypto-assets using private cryptographic wallet keys.
- 2.45 The entry in Row 3 on the **‘Method used to protect the crypto-assets and the private wallet keys of clients’** shall, for example, provide a detailed description of the method used to protect the private keys of clients’ wallets, whether multisig is obligatory and the details of this signing method including who can sign depending on the value of the transaction.
- 2.46 The entry in Row 4 on the **‘Method used to protect the crypto-assets and the private wallet keys of the crypto-asset service provider’** shall, for example, provide a more detailed description of the method used to protect the private keys of the wallets of the crypto-asset service provider, whether multisig is obligatory and the details of this signing method including who can sign depending on the value of the transaction.

Part J Description of the security for crypto-assets and private wallet keys

- 2.47 This part of the statement may contain attached documents in any format providing a description of the security for crypto-assets and private wallet keys.

3. Abbreviations used

MiCA Regulation	– Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937 (OJ L 150, 9/6/2023) as amended
VASP	– virtual asset service provider – provider of exchange services or virtual currency wallets (in Slovakia)
D currency	– denominated currency
Multisig	– multi-signature
R. no.	– Row number
IČO	– organisation identification number (an official identifier in Slovakia standing for <i>‘identifikačné číslo organizácie’</i>)
ID	– identifier

IS ŠZP

– Statistics Collection Portal information system (from the Slovak
‘informačný systém Štatistický zberový portál’)

TEMPLATE

Report on wallet addresses

Addresses of wallets holding crypto-assets that clients have placed in the custody and administration of the crypto-asset service provider and the crypto-assets of the crypto-asset service provider (as of reporting date)

Name of crypto-asset service provider:
ID (IČO) of crypto-asset service provider:
Reporting date:

R. no.	Wallet address	Distributed ledger	Address type	Internal account held with a third party providing custody and administration of crypto-assets at their address	Code of third party providing crypto-asset custody and administration at their address	Portfolio	Person controlling crypto-assets	Crypto-asset code (identifier)	Number of crypto-assets	Value of crypto-assets in euro
a	1	2	3	4	5	6	7	8	9	10
1										

Methodology for preparation Report on wallet addresses

1. General part

- 1.1 The purpose of this statement is to provide information on the wallet addresses of the crypto-asset service provider and the address of the wallets holding clients' crypto-assets placed in the custody and administration of the crypto-asset service provider during the reporting period. Only information about crypto-assets covered by the MiCA Regulation is to be reported. The statement shall not include information on unique and non-fungible crypto-assets.
- 1.2 'Reporting period' means the calendar quarter ending on the reporting date.
- 1.3 If the statement is a corrective statement, the reason for the correction shall be specified in the version comments in IS ŠZP.

2. Special part

- 2.1 The statement shall report the addresses of wallets used for crypto-asset transactions in the reporting period and the addresses of wallets containing a non-zero number of crypto-assets as of the reporting date.
- 2.2 If the reporting crypto-asset service provider has entrusted the custody and administration of crypto-assets to a third party under contractual terms and conditions with the effect that the reporting crypto-asset service provider does not know the wallet address, it shall complete the entries under 'Internal account held with a third party providing custody and administration of crypto-assets at their address' and 'Code of third party providing crypto-asset custody and administration at their address'.
- 2.3 On one wallet address associated with one distributed ledger, there may be multiple crypto-assets, so it may be necessary to write multiple rows for one wallet address that differ in the crypto-assets to which they refer; it may also be necessary to distinguish multiple distributed ledgers.
- 2.4 Entries under '**Wallet address**' shall specify the address of the blockchain cryptocurrency wallet that the crypto-asset service provider uses for the custody and administration of their own crypto-assets and the crypto-assets of their clients.
- 2.5 Entries under '**Distributed ledger**' shall specify the distributed ledger to which the wallet address identified under 'Wallet address' is connected by selecting the appropriate item from grouping CK243CASP04_ADR in IS ŠZP under Metadata / Groupings.
- 2.6 Entries under '**Address type**' identify the type of the address under 'Wallet address' based on whether the crypto-assets under this address belong to a single owner or a group of owners using the appropriate item from grouping CK244CASP04_ADR in IS ŠZP under Metadata / Groupings, for example 'individual'.
- 2.7 Entries under '**Internal account held with a third party providing custody and administration of crypto-assets at their address**' shall identify the internal accounts held with a third party providing custody and administration of crypto-

assets relating to crypto-assets in custody with the third party that belong to the reporting crypto-asset service provider, their client or a group of their clients.

- 2.8 Entries under **‘Code of third party providing crypto-asset custody and administration at their address’** identify the specific crypto-asset service provider providing crypto-asset custody and administration services for the reporting crypto-asset service provider using a code selected from grouping CK033CASP04_ADR in IS ŠZP under Metadata / Groupings.
- 2.9 Entries under **‘Portfolio’** state the portfolio type associated with the crypto-assets held at the wallet address specified under ‘Wallet address’ using terms from grouping CK112CASP04_ADR in IS ŠZP under Metadata / Groupings, and specify in particular whether they belong to the crypto-asset service provider’s own portfolio or a client portfolio.
- 2.10 Entries under **‘Person controlling crypto-assets’** classify who controls the crypto-assets or the means to access them based on the identification codes from grouping CK235CASP04_ADR in IS ŠZP under Metadata / Groupings, for example client (individually), CASP (individually), CASP and client jointly (multisig).
- 2.11 Entries under **‘Crypto-asset code (identifier)’** shall contain the code of the specific crypto-asset held at the wallet address belonging to a specific crypto-asset owner from grouping CK234CASP04_ADR in IS ŠZP under Metadata / Groupings.
- 2.12 Entries under **‘Number of crypto-assets’** shall specify the number of crypto-assets of the type under ‘Crypto-asset code (identifier)’ held at the wallet address for the reporting crypto-asset service provider or their client or a group of their clients.
- 2.13 Entries under **‘Value of crypto-assets in euro’** shall specify the total value (in euro) of crypto-assets of the type specified under ‘Crypto-asset code (identifier)’ held at the wallet address for the reporting crypto-asset service provider or their client or a group of their clients.

3. Abbreviations used

MiCA Regulation	– Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937 (OJ L 150, 9/6/2023) as amended
R. no.	– Row number
IČO	– organisation identification number (an official identifier in Slovakia standing for <i>‘identifikačné číslo organizácie’</i>)
IS ŠZP	– Statistics Collection Portal information system (from the Slovak <i>‘informačný systém Štatistický zberový portál’</i>)

TEMPLATE

Report on complaints

Name of crypto-asset service provider:	
ID (IČO) of crypto-asset service provider:	
Reporting date:	

R. no.	Receipt number of complaint	Service to which the complaint pertains	Complaint type	Brief description	Receipt date of complaint	Resolution date of complaint	Investigation status of complaint	Reason for rejection or partial rejection of complaint	Costs incurred in connection with complaint
a	1	2	3	4	5	6	7	8	9
1									

Methodology for preparation Report on complaints

1. General part

- 1.1 The purpose of this statement is to report complaints that clients have filed with the crypto-asset service provider pursuant to Article 71 of the MiCA Regulation.

2. Special part

- 2.1 The report shall cover the whole reporting calendar quarter, listing all complaints filed during the quarter and all complaints whose investigation was ongoing as of the first day of the reporting quarter, i.e. a decision on the complaint had not been issued to the complainant or the complaint had not been rejected as inadmissible, where the investigation status of the complaint changed during the reporting quarter.
- 2.2 Entries under **'Receipt number of complaint'** shall indicate the complaint number assigned to the complaint by the crypto-asset service provider.
- 2.3 Entries under **'Service to which the complaint pertains'** shall specify the crypto-asset service under the MiCA Regulation to which the complaint relates by selection from grouping CK079CASP05_STA in IS ŠZP under Metadata / Groupings.
- 2.3 Entries under **'Complaint type'** shall use the applicable code from grouping CK066CASP05STA in IS ŠZP under Metadata / Groupings.
- 2.5 Entries under **'Brief description'** shall provide a brief summary of the nature of the complaint.
- 2.6 Entries under **'Receipt date of complaint'** shall specify the date when the complaint was filed with the crypto-asset service provider. If the complainant sends a supplementary submission or complaint after the crypto-asset service provider resolves their initial complaint and marks it as resolved, this complaint or submission shall be reported as another new complaint. The date shall be in the format d.m.yyyy.
- 2.7 Entries under **'Resolution date of complaint'** shall specify the date when a response was sent to the complainant or the date when the crypto-asset service provider considers the complaint to have been delivered. If the complaint is not resolved as of the reporting date, the column shall be left blank. The date shall be in the format d.m.yyyy.
- 2.8 Entries under **'Investigation status of complaint'** shall use the applicable code from grouping CK162CASP05_STA in IS ŠZP under Metadata / Groupings, for example the code for a pending request for additional information or the code for investigation of the complaint.
- 2.9 Entries under **'Reason for rejection or partial rejection of complaint'** shall contain a brief description of the reasons why the complaint was rejected or closed with partial resolution. If the complaint is not rejected or closed with partial resolution as of the reporting date, the column shall be left blank.
- 2.10 Entries under **'Costs incurred in connection with complaint'** shall specify the estimated amount of the compensation whose payment to the client is foreseen, or

other costs incurred by the crypto-asset service provider in connection with the complaint; it shall be expressed in euro.

3. Abbreviations used

MiCA Regulation	– Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937 (OJ L 150, 9/6/2023) as amended
R. no.	– Row number
IČO	– organisation identification number (an official identifier in Slovakia standing for ' <i>identifikačné číslo organizácie</i> ')
IS ŠZP	– Statistics Collection Portal information system (from the Slovak ' <i>informačný systém Štatistický zberový portál</i> ')

TEMPLATE

Report on the crypto-asset service provider**Basic information on the crypto-asset service provider**

Name of crypto-asset service provider:	
ID (IČO) of crypto-asset service provider:	
Reporting date:	

Part A

Page 1/9

Data title		R. no.	Data
a	b	c	1
Name of crypto-asset service provider			
Registered office	Street and number	1	
	Postal code	2	
	Municipality	3	
	IČO	4	
	LEI	5	
Correspondence address	Street and number	6	
	Postal code	7	
	Municipality	8	
Contact person	Forename and surname	9	
	Function	10	
	Phone number	11	
	E-mail address	12	
Website of the crypto-asset service provider		13	
Date from which the crypto-asset service provider operates this website		14	
Number of employees		15	
Average of the daily number of active users in the EU throughout the calendar year		16	
		17	

Part B

Information on members of the management body

Page 2/9

R. no.	Personal ID	ID type	Function in the management body	Start date in function	Date of expected end of term of office
a	1	2	3	4	5
1					

Part C

Information on responsible persons

R. no.	Personal ID	ID type	Function	Start date
a	1	2	3	4
1				

Part D
Identification of all direct and indirect shareholders with qualifying holdings in the crypto-asset service provider and the values of these holdings

Page 4/9

R. no.	Personal ID	ID type	Share type	Share of share capital or voting rights	Value as percentage
a	1	2	3	4	5
1					

Part E

Group with close links within the meaning of Article 3(31) of the MiCA Regulation (diagram)

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Information on the company's business model

R. no.	Type of service / business activity	Description	Date	Number of contracts concluded		Types of contract concluded (number)		
				online	in person	single transaction	framework agreement	
a	1	2	3	4	5	6	7	
1								

Part G

Information on outsourced activities or services

R. no.	Personal ID	ID type	Contract ID	Title of contract for the outsourced activity or service	Outsourced activity or service	Description of the outsourced activity or service	Start date	Is the entity performing the outsourced activity or service part of a group with close links?
a	1	2	3	4	5	6	7	8
1								

Personal identification data

R. no.	Personal ID	ID type	Forename and surname / Name	Permanent residence / registered office					E-mail address	Phone number
				Street	Number	Postal code	Town	Country		
a	1	2	3	4	5	6	7	8	9	10
1										

Part I

Information on accounts

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R. no.	ID of payment service provider	ID type	Account number of the crypto-asset service provider with a payment service provider (IBAN)	Account currency	Opening date of account	Purpose of account
a	1	2	3	4	5	6
1						

Methodology for preparation
Report on the crypto-asset service provider

1. General part

- 1.1 The purpose of this statement is to provide up-to-date information on the crypto-asset service provider, the members of the management body, the persons responsible for individual areas of activity of the crypto-asset service provider, direct or indirect shareholders with qualifying holdings in the crypto-asset service provider, any group with close links within the meaning of Article 3(31) of the MiCA Regulation, the business model, outsourced operational activities or services under Article 73 of the MiCA Regulation, and the supervised entity's accounts in financial institutions.
- 1.6 A zero is entered if the value of an item is zero. If data for an item does not exist, the entry shall be left blank.
- 1.7 If the statement is a corrective statement, the reason for the correction shall be specified in the version comments in IS ŠZP.

2. Special part

- 2.1 In Parts B, C, D and H, entries under '**Personal ID**' shall show the numerical value of the identifier. In Parts B, C, D, H and I, entries under '**ID Type**' shall show the type of identifier used to identify the person or entity, for example a birth ID no., IČO, LEI or other identifier assigned to the person.

Part A Basic information on the crypto-asset service provider

- 2.2 Part A sets out the basic information on the crypto-asset service provider. The item '**Website of the crypto-asset service provider**' refers to the website that the crypto-asset service provider uses for the information disclosures required by the MiCA Regulation. If the crypto-asset service provider operates multiple websites, they shall be entered into the field for the item as a comma-separated list. The start dates of these websites shall be entered for the related item '**Date from which the crypto-asset service provider operates this website**' also as a comma-separated list, in an order corresponding to the order of the websites in the previous item.
- 2.3 '**Average of the daily number of active users in the EU throughout the calendar year**' is calculated as the average of the daily number of active users in the European Union for the whole calendar year.

Part B Information on members of the management body

- 2.4 Part B reports information on the members of the management body. Entries under **'ID type'** shall use the applicable ID type code from grouping CK177CASP06 in IS ŠZP under Metadata / Groupings.
- 2.3 Entries under **'Function in the management body'** shall use the applicable function code from grouping CK074CASP06_RO in IS ŠZP under Metadata / Groupings.
- 2.6 Entries under **'Date of expected end of term of office'** shall refer to the date of the expected end of the term of office in the function and the column shall be left blank if the term of office is unlimited.

Part C Information on responsible persons

- 2.7 Part C reports data on the persons responsible for individual areas of activities of the crypto-asset service provider such as internal control, AML, IT management, as well as data on any authorised signatory.
- 2.8 Entries under **'ID type'** shall use the applicable ID type code from grouping CK177CASP06 in IS ŠZP under Metadata / Groupings.
- 2.9 Entries under **'Function'** shall use the applicable function code from grouping CK074CASP06_ZO in IS ŠZP under Metadata / Groupings.

Part D Identification of all direct and indirect shareholders with qualifying holdings in the crypto-asset service provider and the values of these holdings

- 2.10 Part D reports the identity of all direct or indirect shareholders with qualifying holdings in the crypto-asset service provider and the values of these holdings as a percentage (without the % sign) in terms of share capital or voting rights.
- 2.11 Entries under **'ID type'** shall use the applicable ID type code from grouping CK177CASP06_KP in IS ŠZP under Metadata / Groupings.
- 2.12 Entries under **'Share type'** shall use the applicable ID type code from grouping CK090CASP06_KP in IS ŠZP under Metadata / Groupings.

2.13 Entries under '**Share of share capital or voting rights**' shall use the applicable code from grouping CK065CASP06_KP in IS ŠZP under Metadata / Groupings.

2.14 Entries under '**Value as percentage**' shall show the value of the share as a percentage of the share capital or voting rights (without the % sign).

Part E Group with close links within the meaning of Article 3(31) of the MiCA Regulation

2.15 Part E presents any group with close links as referred to in Article 3 (31) of the MiCA Regulation as a diagram attached as an annex in any format.

Part F Information on the company's business model

2.16 Part F describes the business model and number of concluded contracts broken down by the method of conclusion (online or in person at a trading venue) and type of contract (single transaction or framework agreement) for each type of crypto-asset service and other activities.

2.17 Entries under '**Type of service / business activity**' shall use the applicable code for type of service from grouping CK079CASP06_OM in IS ŠZP under Metadata / Groupings.

2.18 Entries under '**Date**' refer to the start date of the service or activity.

2.19 If an item of information on the company's business model does not relate to crypto-asset services or other activities related to crypto-assets, the items under columns 4 to 7 shall not be completed for the row in question.

Part G Information on outsourced activities or services

2.20 Part G reports information on outsourced activities or services, data on third parties performing outsourced activities or services under Article 73 of the MiCA Regulation, and details of outsourced tasks.

2.21 Entries under '**ID type**' shall use the applicable ID type code from grouping CK177CASP06_EXT in IS ŠZP under Metadata / Groupings.

2.22 Entries under **'Outsourced activity or service'** shall use the applicable code from grouping CK139CASP06_EXT in IS ŠZP under Metadata / Groupings.

2.23 Entries under **'Is the entity performing the outsourced activity or service part of a group with close links?'** show the answer 'Yes' or 'No' using the codes from grouping CK001Z02 in IS ŠZP under Metadata / Groupings.

Part H Personal identification data

2.24 Part H reports the identification data of the persons referred to in Parts B, C, D and I of the statement.

2.26 Entries under **'ID type'** shall use the applicable ID type code from grouping CK177CASP06_ID in IS ŠZP under Metadata / Groupings.

2.26 If responsible persons are reported in Part C of the statement, the identification data: 'Street', 'Number', 'Postal code' and 'Town' shall be left blank.

2.27 If the direct or indirect shareholder are reported in Part D of the statement, the items 'E-mail address' and 'Phone number' shall be left blank.

2.28 Entries under **'Country'** shall use the country code from the KRAJINY grouping in IS ŠZP under Metadata / Groupings.

Part I Information on accounts

2.29 Part I reports information on the accounts that the crypto-asset service provider holds with payment service providers. Entries under **'Payment service provider ID'** show the numerical value of the identifier. Entries under **'ID type'** shall use the applicable ID type code from grouping CK177CASP06_UCTY in IS ŠZP under Metadata / Groupings.

2.30 Entries under **'Account currency'** shall use the code for the currency in which the account is held based on the MENY grouping in IS ŠZP under Metadata / Groupings.

2.31 For the purpose of completing this part of the statement, a distinction is made between an account with the funds of the crypto-asset service provider and an account with the funds of clients of the crypto-asset service provider. Entries in the

column '**Purpose of account**' shall use the applicable code from grouping CK066CASP06_U in IS ŠZP under Metadata / Groupings.

3. Abbreviations used

MiCA Regulation	– Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937 (OJ L 150, 9/6/2023) as amended
AML	– Anti-Money Laundering
IBAN	– International Bank Account Number
IČO	– organisation identification number (an official identifier in Slovakia standing for ' <i>identifikačné číslo organizácie</i> ')
R. no.	– Row number
ID	– identifier
IS ŠZP	– Statistics Collection Portal information system (from the Slovak ' <i>informačný systém Štatistický zberový portál</i> ')
IT	– information technology
LEI	– Legal Entity Identifier, a 20-character, alpha-numeric code used as an international identifier for legal entities based on the ISO 17442-1 standard
EU	– European Union

TEMPLATE

Report on AML/CFT compliance in the provision of crypto-asset services

Client portfolio

Name of crypto-asset service provider:

ID (ICO) of crypto-asset service provider:

Reporting date:

Part A

R. no.	Client ID recorded by the crypto-asset service provider	ID type	Activity classification	Country	Politically exposed person	Identification / ID verification	Client categorisation based on AML / CFT risk	Due diligence applied	Client type	Year of birth / establishment	Activity	New client / existing client
a	1	2	3	4	5	6	7	8	9	10	11	12
1												

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Part B

Unusual business transactions

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(data in units)

R. no.	Unusual business transaction	Activity classification	Country	Client type	Number of unusual business transactions
a	1	2	3	4	5
1					

Employees

Employees	R. no.	Initial number	New employees	Terminations
a	b	1	2	3
Frontline staff	1			
AML staff	2			

Part D

Assessed transactions

Page 4/4
(data in units)

Assessed transactions	R. no.	Value
a	b	1
Number of assessed transactions	1	
Number of assessed transactions for which a written record was made	2	

**Methodology for preparation
Report on AML/CFT compliance in the provision of crypto-asset services**

1. General part

- 1.1 The purpose of this statement is to report information on the number and nature of clients, the number of unusual business transactions, assessed transactions and certain employees in accordance with Act No 297/2008 on the prevention of money laundering and terrorist financing (and amending certain laws), as amended ('the AML Act').
- 1.2 A crypto-asset service provider shall prepare the report semi-annually.
- 1.3 A zero is entered if the value of an item is zero.
- 1.4 In the case of a corrective statement, the reason for the correction shall be specified in the version comments in IS ŠZP.

2. Special part

Part A Client portfolio

- 2.1 Entries under '**Client ID recorded by the crypto-asset service provider**' shall specify the unique client ID assigned to the client by the crypto-asset service provider. No changes shall be made to such client IDs.
- 2.2 Entries in the column '**ID type**' shall use the applicable code from grouping CK177CASP07_KP in IS ŠZP under Metadata / Groupings.
- 2.3 Entries in the column '**Activity classification**' shall use the applicable code for the statistical classification of economic activities (SK NACE code) from grouping CK034SK in IS ŠZP under Metadata / Groupings.
- 2.4 Entries under '**Country**' shall record the country of citizenship in the case of a natural person or natural person-entrepreneur, or the country where the registered office is located in the case of a legal person. The appropriate code shall be selected from the grouping KRAJINY in IS ŠZP under Metadata / Groupings.
- 2.5 Entries under '**Politically exposed person**' (Section 6 of the AML Act) shall use the appropriate code from grouping CK001Z02 in IS ŠZP under Metadata / Groupings such that 'A' ('Y' or 'Yes') shall be entered if the client is
 - a) a natural person identified as a politically exposed person;
 - b) a natural person-entrepreneur, if the trade license holder is identified as a politically exposed person;
 - c) a legal person, if a related person, for example the statutory body, beneficial owner, person authorised to use a payment account or an authorised representative is identified as a politically exposed person.If none of the conditions under (a) to (c) are met, the value 'N' ('No') shall be entered.
- 2.6 Entries under '**Identification / ID verification**' shall record the methods of client identification and ID verification used when entering into a business relationship with

the client. The appropriate code shall be selected from grouping CK199CASP07_KP in IS ŠZP under Metadata / Groupings.

- 2.7 Entries under **‘Client categorisation based on AML / CFT risk’** shall use one of the four risk categories identified by the codes from grouping CK216CASP07_KP in IS ŠZP under Metadata / Groupings.
- 2.8 Entries under **‘Due diligence applied’** shall identify the type of client due diligence carried out under Sections 10 to 12 of the AML act using the appropriate code from grouping CK197CASP07_KP in IS ŠZP under Metadata / Groupings.
- 2.9 Entries under **‘Client type’** shall specify whether the client is a natural person, a crypto-asset service provider (CASP), another legal person, a VASP or a natural person-entrepreneur using the appropriate code from grouping CK066CASP07 in IS ŠZP under Metadata / Groupings.
- 2.10 Entries under **‘Year of birth / establishment’** shall record the client’s year of birth if they are a natural person or a natural person-entrepreneur. If AML due diligence has not been carried out for a natural person, the text ‘_Z’ shall be entered. If the client is a legal person, their year of establishment shall be recorded.
- 2.11 Entries under **‘Activity’** shall state ‘Yes’ or ‘No’ using the appropriate code from grouping CK001Z02 in IS ŠZP under Metadata / Groupings to indicate whether or not the client was active in the six months to the reporting date. A client is considered ‘active’ for the purposes of completing this report if they use any of the crypto-asset services provided by the reporting crypto-asset service provider.
- 2.12 Under **‘New client / existing client’**, a client is reported as a new client if they became a client of the crypto-asset service provider within the six months before the reporting date; if they became a client of the crypto-asset service provider more than six months before the reporting date, they are considered an existing client. The appropriate code shall be selected from grouping CK065CASP07_KP in IS ŠZP under Metadata / Groupings.

Part B Unusual business transactions (UBTs)

- 2.13 Entries under **‘Unusual business transaction’** shall identify one of the types of unusual business transactions, distinguishing those detected by AML staff or generated by the AML IT tool as unusual business transactions and subsequently reported or not reported to the FIU in the reporting calendar half-year. The appropriate code shall be selected from grouping CK065CASP07_NOO in IS ŠZP under Metadata / Groupings.
- 2.14 Entries in the column **‘Activity classification’** shall use the applicable code for the statistical classification of economic activities (SK NACE code) from grouping CK034SK in IS ŠZP under Metadata / Groupings.

- 2.15 Entries under '**Country**' shall record the country of citizenship in the case of a natural person or natural person-entrepreneur, or the country where the registered office is located in the case of a legal person. The appropriate code shall be selected from the grouping KRAJINY in IS ŠZP under Metadata / Groupings.
- 2.16 Entries under '**Client type**' shall specify whether the client is a natural person, a crypto-asset service provider (CASP), another legal person, a VASP or a natural person-entrepreneur using the appropriate code from grouping CK066CASP07 in IS ŠZP under Metadata / Groupings.
- 2.17 Entries under '**Number of unusual business transactions**' shall state the number of unusual business transactions with the attributes entered under the other headings on the row.

Part C Employees

- 2.18 Entries under '**Initial number**' shall state the numbers of frontline staff or AML department staff based on staff records as of the first day of the reporting calendar half-year.
- 2.19 Entries under '**New employees**' shall state the number of employees newly placed in a position as a frontline or AML department employee during the reporting calendar half-year.
- 2.20 Entries under '**Terminations**' shall state the numbers of frontline staff or AML department staff whose employment was terminated during the reporting calendar half-year.

Part D Assessed transactions

- 2.21 Entries under '**Number of assessed transactions**' shall state the number of transactions assessed under Section 14(1) of the AML Act.
- 2.22 Entries under '**Number of assessed transactions for which a written record was made**' shall state the number of assessed transactions for which a written record was made under Section 14(3) of the AML Act.

3. Abbreviations used

AML/CFT	– Anti-Money Laundering/Combating the Financing of Terrorism
ID	– identifier
R. no.	– Row number
VASP	– a person authorised to provide custodial wallet services for virtual currencies or virtual currency exchange services.
IS ŠZP	– Statistics Collection Portal information system (from the Slovak ' <i>informačný systém Štatistický zberový portál</i> '))
FIU	– Financial Intelligence Unit
UBT	– unusual business transaction

TEMPLATE
Notification of changes to the management body or responsible persons
Notification of changes

Name of crypto-asset service provider:	
ID (IČO) of crypto-asset service provider:	
Reporting date:	

Part A		Information on position or function				Information on person								
R. no.	Reason for submission	Position or function	Start date in function	Date of expected end of term of office	Personal ID	ID type	Forename and surname	Street	Number	Postal code	Town	Country	E-mail address	Phone number
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14
1														

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Part B

Attached documents (notifications of changes to the management body or responsible persons, criminal register extract)

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Methodology for preparation
Notification of changes to the management body or responsible persons

1. General part

- 1.1 The purpose of this notification is to give notice of changes of persons in the management body and changes of responsible persons.

2. Special part

- 2.1 The notification reports any changes to the management body following the appointment of any new members. It also reports changes of responsible persons, for example the chief internal control officer or the AML compliance officer.
- 2.2 The item '**Reporting date**' in the header refers to the date when the change to the management body or change of responsible person occurred.
- 2.3 Entries under '**Reason for submission**' shall use the applicable code from grouping CK066CASP08 in IS ŠZP under Metadata / Groupings.
- 2.4 Entries under '**Position or function**' shall use the applicable code from grouping CK074CASP08 in IS ŠZP under Metadata / Groupings.
- 2.5 Entries under '**Start date in function**' shall specify the date when the person took up the relevant function or began to perform the function; entries shall be in the date format d.m.yyyy.
- 2.6. Entries under '**Date of expected end of term of office**' shall refer to the date of the expected end of the term of office in the function and the column shall be left blank if the term of office is unlimited.
- 2.7 Entries in the column '**Personal ID**' shall be in the form of the identifier's numerical value. Entries in the column '**ID type**' shall use the applicable code from grouping CK177CASP08 in IS ŠZP under Metadata / Groupings.
- 2.8 The columns 'Street', 'Number', 'Postal code' and 'Town' shall not be completed for changes concerning responsible persons.
- 2.9 Entries under '**Country**' shall use the country code from the KRAJINY grouping in IS ŠZP under Metadata / Groupings.
- 2.10 If the reporting crypto-asset service provider wishes to include additional information, any attachments can be specified in Part B '**Attached documents**'.

3. Abbreviations used

AML/CFT	– Anti-Money Laundering/Combating the Financing of Terrorism
ID	– identifier
R. no.	– Row number
IS ŠZP	– Statistics Collection Portal information system (from the Slovak ' <i>informačný systém Štatistický zberový portál</i> '))