

**4**  
**DECREE**  
**of Národná banka Slovenska**  
**of 1 October 2019**

**amending Decree No 1/2018 of Národná banka Slovenska on how to demonstrate compliance with conditions for an authorisation to act as an independent financial agent and for an authorisation to act as a financial adviser**

Národná banka Slovenska, in accordance with Section 18(17) of Act No 186/2009 on financial intermediation and financial advisory services (and amending certain laws), has adopted this Decree:

**Article I**

Decree No 1/2018 of Národná banka Slovenska of 6 February 2018 on how to demonstrate compliance with conditions for an authorisation to act as an independent financial agent and for an authorisation to act as a financial adviser (Notification No 36/2018) is amended as follows:

1. In Section 1(1), the following new subparagraph (a) is inserted:  
‘(a) information necessary for requesting a criminal record check certificate<sup>1</sup> for the natural person concerned;’.

Subparagraphs (a) to (c) are renamed subparagraphs (b) to (d).

Footnote 1 is replaced by the following:

‘<sup>1</sup> Section 10(4)(a) of Act No 330/2007 on the Criminal Register (and amending certain laws), as amended by Act No 91/2016.’

2. In Section 1(1)(b), the words ‘Article 23(1)(a)’ are replaced by the words ‘Section 18(6)(c) or Section 18(7)(c)’.

3. In Section 1(1), subparagraph (c) is deleted.

Subparagraph (d) is renamed subparagraph (c).

4. In Section 1(1)(c), the words ‘(b), (c), (e) to (h)’ are replaced by the words ‘(b) to (h)’.

5. In Section 1, paragraph 7 is deleted.

**Article II**

This Decree takes effect on 1 November 2019.

**Peter Kažimír [signed]**  
**Governor**

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