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DECREE
of Národná banka Slovenska
of 21 August 2018
on the Register of Bank Loans and Guarantees

Národná banka Slovenska, in accordance with Article 38(8) of Act No 483/2001 Coll. on banks (and amending certain laws), as amended (hereinafter ‘the Act’), has adopted this Decree:

Article 1

(1) In accordance with Article 38(1) of the Act, the Register of Bank Loans and Guarantees (hereinafter the ‘Register’) shall contain data provided by banks, foreign bank branches, and the Export-Import Bank of the Slovak Republic, and data provided on the basis of information and documentation referred to in Article 38(7) of the Act.

(2) Information and documentation referred to in Article 38(7) of the Act, concerning entrepreneurs and legal entities, shall be provided to the Register from:

- (a) the Register of Legal Entities, Entrepreneurs, and Public Bodies and Their Identifiers¹ (hereinafter the ‘Register and Legal Entity Identifiers’) to the extent specified in Annex 1, column 1;
- (b) the Register of Organisations,² to the extent specified in Annex 1, column 2;
- (c) the Register of Financial Statements,³ to the extent specified in Annex 1, column 3;
- (d) the database of Legal Entity Identifiers (LEI),⁴ to the extent specified in Annex 1, column 4;
- (e) the database of the Social Insurance Agency,⁵ to the extent specified in Annex 1, column 5.

(3) Where the information and documentation under paragraph (2) were not provided to the extent specified in paragraph (4), or are not correct, complete or up to date, they shall be provided to the Register in accordance with a separate regulation⁶ by banks, foreign bank branches, and the Export-Import Bank of the Slovak Republic.

(4) The following data are provided to the Register:

- (a) data on legal entity entrepreneurs, other legal entities, and funds under a separate regulation⁷ where they are entities under a separate regulation⁸ in a reporting Member State as defined in a separate regulation⁹ and are an entity affiliated with an instrument under paragraph (5)(a); the extent of these data is specified in Annex 2, according to the position of the entity or fund as referred to in paragraph (8);
- (b) data on legal entity entrepreneurs, other legal entities, and funds under a separate regulation⁷ where they are not entities under a separate regulation⁸ in a reporting Member State as defined

¹ Article 2 of Act No 272/2015 Coll. on the Register of Legal Entities, Entrepreneurs, and Public Bodies (and amending certain laws).

² Article 20(1)(a) of Act No 540/2001 Coll. on state statistics, as amended by Act No 55/2010 Coll.

³ Article 23 of Act No 431/2002 Coll. on accounting, as amended.

⁴ Article 7(30) of Act No 566/2001 Coll. on securities and investment services (and amending certain laws) (the Securities Act), as amended by Act No 237/2017 Coll.

⁵ Article 226(1)(e) of Act No 461/2003 Coll. on social insurance, as amended;

⁶ Regulation (EU) of the European Central Bank 2016/867 of 18 May 2016 on the collection of granular credit and credit risk data (ECB/2016/13) (OJ L 144, 1.6.2016).

⁷ Act No 43/2004 Coll. on the old-age pension scheme (and amending certain laws), as amended.

⁸ Article 1, point (2) of Regulation (EU) No 2016/867.

⁹ Article 1, point (1) of Regulation (EU) No 2016/867.

in a separate regulation⁹ and are an entity affiliated with an instrument under paragraph (5)(a); the extent of these data is specified in Annex 3, according to the position of the entity or fund as referred to in paragraph (8);

- (c) data on legal entity entrepreneurs, other legal entities, and funds under a separate regulation⁷ where they are an entity affiliated with an instrument under paragraph (5)(b) to (e); the extent of these data is specified in Annex 4, according to the position of the entity or fund as referred to in paragraph (8)(a) to (f).

(5) The following data are provided to the Register:

- (a) data on instruments under a separate regulation¹⁰ of legal entity entrepreneurs, other legal entities, and funds under a separate regulation⁷ where the instrument is provided by a bank or a foreign bank branch; the extent of the data on these instruments is specified in Annex 5, columns 1 to 5,
- (b) data on instruments under a separate regulation¹¹ of legal entity entrepreneurs, other legal entities, and funds under a separate regulation⁷ where the instrument is provided by a bank or a foreign bank branch and has a threshold value of EUR 0, except for unauthorised overdrafts with a threshold value of EUR 250; the extent of the data on these instruments is specified in Annex 5, column 6;
- (c) data on instruments under a separate regulation¹¹ of natural person entrepreneurs where the instrument is provided by a bank, a foreign bank branch or the Export-Import Bank of the Slovak Republic and has a threshold value of EUR 0, except for unauthorised overdrafts with a threshold value of EUR 250; the extent of the data on these instruments is specified in Annex 5, column 6;
- (d) data on instruments under a separate regulation¹¹ of entrepreneurs, other legal entities, and funds under a separate regulation⁷ where the instrument is provided by the Export-Import Bank of the Slovak Republic and has a threshold value of EUR 0, except for unauthorised overdrafts with a threshold value of EUR 250; the extent of the data on these instruments is specified in Annex 5, column 6;
- (e) data on off-balance sheet instruments which are loan commitments, guarantees or letters of credit of entrepreneurs, other legal entities, and funds under a separate regulation⁷ where the instrument is provided by a bank, a foreign bank branch or the Export-Import Bank of the Slovak Republic and has a threshold value of EUR 0; the extent of the data on these instruments is specified in Annex 5, column 7.

(6) Data referred to in paragraphs (3) and (4) are provided to the Register as counterparty¹² reference data specified in Annex Nos 2 to 4.

(7) Data under paragraph (5) are provided to the Register to the extent referred to in Annex 5, as follows:

- (a) in table 1 – instrument data;
- (b) in table 2 – financial data;
- (c) in table 3 – counterparty-instrument data;
- (d) in table 4 – joint liabilities data;
- (e) in table 5 – accounting data;
- (f) in table 6 – protection received data;
- (g) in table 7 – instrument-protection received data;
- (h) in table 8 – counterparty risk data;

¹⁰ Article 1, point (23) and Article 5 of Regulation (EU) No 2016/867.

¹¹ Article 1, point (23) of Regulation (EU) No 2016/867.

¹² Article 1, point (10) of Regulation (EU) No 2016/867.

(i) in table 9 – counterparty default data.

(8) For the purposes of paragraph (4), a position means:

- (a) reporting agent;
- (b) observed agent;
- (c) creditor;
- (d) debtor – all instruments originated prior to 1 September 2018;
- (e) debtor – at least one instrument originated at or after 1 September 2018;
- (f) protection provider;
- (g) head office undertaking;
- (h) immediate parent undertaking;
- (i) ultimate parent undertaking;
- (j) originator;
- (k) servicer.

Article 2

(1) Data referred to in Article 1(3) and (4) on new counterparties shall be provided to the Register on a monthly basis, no later than the 15th day of the calendar month after the reporting reference date under a separate regulation¹³ as at which the data are provided.

(2) Data referred to in Article 1(3) and (4) on counterparties appearing in a new position under Article 1(8), in a greater scope of data under Article 1(3), shall be provided on a monthly basis, no later than the 15th day of the calendar month after the reporting reference date under a separate regulation¹³ as at which the data are provided.

(3) Data referred to in Article 1(3) and (4) on counterparties whose data have changed shall be provided to the Register without undue delay, but no later than the 15th day of the calendar month after the reporting reference date under a separate regulation¹³ as at which the data are provided.

Article 3

(1) Data referred to in Article 1(7)(a), (c) and (f) shall be provided to the Register on a monthly basis, at the inception of a new instrument and at each change in the data, according to their status as at the reporting reference date under a separate regulation¹⁴ and to the extent specified in Annex 5, no later than the 15th day of the calendar month after the reporting reference date under a separate regulation¹⁴ as at which the data are provided.

(2) Data referred to in Article 1(7)(b), (d) and (g) to (i) shall be provided to the Register on a monthly basis, according to their status as at the reporting reference date under a separate regulation¹⁴ and to the extent specified in Annex 5, no later than the 15th day of the calendar month after the reporting reference date under a separate regulation¹⁴ as at which the data are provided.

(3) Data referred to in Article 1(7)(e) shall be provided to the Register on a quarterly basis, according to their status as at the reporting reference date under a separate regulation¹⁵ and to the extent specified in Annex 5 under a separate regulation.¹⁶

¹³ Article 13(1)(a) and (8) of Regulation (EU) No 2016/867.

¹⁴ Article 13(1)(a) of Regulation (EU) No 2016/867.

¹⁵ Article 13(1)(b) of Regulation (EU) No 2016/867.

Article 4

Data from the Register shall be provided to banks, foreign bank branches, and the Export-Import Bank of the Slovak Republic in accordance with Article 38(3) of the Act and to the extent specified in Annex 6.

Article 5

Data from the Register shall be provided to entrepreneurs, legal entities, and funds under a separate regulation⁷ if an instrument under Article 1(5) has been provided to them at a bank, foreign bank branch or the Export-Import Bank of the Slovak Republic in accordance with Article 38(3) of the Act, and to legal entity entrepreneurs under a separate regulation;¹⁷ these data shall be provided to these entities (hereinafter ‘clients’) to the extent specified in Annex 7.

Article 6

(1) Data shall be provided to and from the Register in accordance with Articles 1 to 4 by means of the Register’s information system.

(2) Data provided from the Register under Article 5 shall be provided to clients on the basis of a written request for data from the Register, the template for which is contained in Annex 8. These data may be collected in person by, or sent to, the requesting person who is the client, or a person empowered by the client. Requests for data from the Register shall be submitted by each client separately.

(3) Requests for data from the Register shall include the following annexes:

- (a) if the requesting person wishes to collect the data from the Register in person, the “Form for confirming the collection of data from the Register in person”, the template for which is contained in Annex 9;
- (b) if the requesting person wishes to have the data collected in person by an empowered person, the officially certified “Power of attorney to collect data from the Register in person”, the template for which is contained in Annex 10;
- (c) if the client has its registered office or place of business in another Member State, an extract no older than three months from a register that is similar to the Commercial Register and is maintained in another Member State.

(4) The document under paragraph (3)(c) shall be submitted in the original or as a certified copy. If this document is in a foreign language, it shall also be submitted in a certified translation into the state language.

Article 7

(1) The fee for the provision of data from the Register to a client for one period specified in the request under Article 6(2) is EUR 20; this fee shall be increased by two euros for each additional period for which data is requested.

¹⁶ Article 3(1)(b) of Commission Implementing Regulation (EU) No 680/2014 of 16 April 2014 laying down implementing technical standards with regard to supervisory reporting of institutions according to Regulation (EU) No 575/2013 of the European Parliament and of the Council (OJ L 191, 28.6.2014), as amended.

¹⁷ Article 12 of Regulation (EU) No 2016/867.

(2) The fee for the provision of data from the Register to a client shall be paid to the account of Národná banka Slovenska dedicated to receiving fee payments, the number of which is published on the website of Národná banka Slovenska.

(3) Payment orders used to pay the fee for the provision of data from the Register to a client, shall include, in addition to the data required under a separate regulation,¹⁸ the following identification data:

- (a) as the variable code, the company registration number or comparable identification code of a foreign entity;
- (b) as the specific code, one of the following:
 - 1. 1901 – for the fee for one requested period under paragraph (1);
 - 2. 1902 – for the fee for each additional requested period under paragraph (1);
 - 3. 1903 – for the fees under points 1 and 2 at the same time.

Article 8

(1) Data provided to the Register by 30 September 2018 are subject to the regulations in force until 30 September 2018.

(2) Where data provided from the Register after 30 September 2018 had been provided to the Register by 30 September 2018, they are subject to the regulations in force until 30 September 2018.

(3) Data provided to the Register from 30 September 2018 to 31 December 2018 are subject to both the regulations in force until 30 September 2018 and the regulations in force from 30 September 2018.

(4) From 30 September 2018 until 31 December 2018, data referred to in Article 1(7)(a), (c) and (f) are provided to the Register on a monthly basis, at the inception of a new instrument and at each change in the data, according to their status as at the reporting reference date under a separate regulation¹⁴ and to the extent specified in Annex 5, no later than the 20th day of the calendar month after the reporting reference date under a separate regulation¹⁴ as at which the data are provided.

(5) From 30 September 2018 until 31 December 2018, data referred to in Article 1(7)(b), (d) and (g) to (i) shall be provided to the Register on a monthly basis, according to their status as at the reporting reference date under a separate regulation¹⁴ and to the extent specified in Annex 5, no later than the 20th day of the calendar month after the reporting reference date under a separate regulation¹⁴ as at which the data are provided.

Article 9

This Decree repeals Decree No 5/2014 of Národná banka Slovenska of 11 March 2014 on the Register of Bank Loans and Guarantees (Notification No 83/2014 Coll.), as amended by Decree No 6/2016 (Notification No 333/2016 Coll.).

Article 10

¹⁸ Article 31(5)(b) and Article 35(1)(a) of Act No 492/2009 Coll. on payment services (and amending certain laws), as amended.

This Decree enters into force on 30 September 2018, with the exception of Article 3(1) and (2), which enters into force on 1 January 2019.

Jozef Makúch
Governor

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Information and documentation	Register and Legal Entity Identifiers	Register of Organisations	Register of Financial Statements	LEI databases	Social Insurance Agency database	Categorisation according to Národná banka Slovenska
I ¹⁾	1	2	3	4	5	6
Legal Entity Identifier (LEI)	- ³⁾	-	-	A	-	-
Establishment date²⁾	A ⁴⁾	A	-	-	-	-
Termination date²⁾	A	A	-	-	-	-
Name	A	A	-	-	-	-
Address: street	A	A	-	-	-	-
Address: city/town/village	A	A	-	-	-	-
Address: county/administrative division	A	A	-	-	-	-
Address: postal code	A	A	-	-	-	-
Address: country	A	-	-	-	-	-
Legal form	A	A	-	-	-	-
Institutional sector	A	A	-	-	-	-
Economic activity	A	A	-	-	-	-
Status of legal proceedings	A	-	-	-	-	-
Date of initiation of legal proceedings	A	-	-	-	-	-
Enterprise size	-	-	-	-	-	A
Date of enterprise size	-	-	-	-	-	A
Number of employees	-	A	-	-	A	-
Balance sheet total	-	-	A	-	-	-
Annual turnover	-	A	A	-	-	-

Explanatory notes:

- 1) Annex IV to Regulation (EU) No 2016/867.
- 2) The data item is provided for the purposes of ensuring technical requirements of the Register.
- 3) “-” indicates data are not provided to the Register.
- 4) “A” in columns 1 to 5 indicates data are provided to the Register from the corresponding register or database, and “A” in column 6 indicates data are provided to the Register by Národná banka Slovenska if the following information is available: Number of employees, Balance sheet total, and Annual turnover.

Counterparty reference data ¹⁾	Reporting agent	Observed agent	Creditor	Debtor — All instruments originated prior to 1 September 2018	Debtor — At least one instrument originated at or after 1 September 2018	Protection provider	Head office undertaking	Immediate parent undertaking	Ultimate parent undertaking	Originator	Servicer
	a	b	c	d	e	f	g	h	i	j	k
Counterparty identifier	A ⁴⁾	A	A	A	A	A	A	A	A	A	A
Counterparty identifier type ³⁾	A	A	A	A	A	A	A	A	A	A	A
Legal Entity Identifier (LEI)	A	A	A	A	A	A	A	A	A	A	A
National identifier	A	A	A	A	A	A	A	A	A	A	A
National identifier type ³⁾	A	A	A	A	A	A	A	A	A	A	A
Description of other identifier type ³⁾	- ⁵⁾	A	A	A	A	A	A	A	A	A	A
Establishment date ³⁾	N ⁶⁾	N	N	N	N	N	N	N	N	N	N
Termination date ³⁾	N	N	N	N	N	N	N	N	N	N	N
Head office undertaking identifier	-	-	-	-	A	-	-	-	-	-	-
Head office undertaking identifier type ³⁾	-	-	-	-	A	-	-	-	-	-	-
Immediate parent undertaking identifier	-	-	-	-	A	-	-	-	-	-	-
Immediate parent undertaking identifier type ³⁾	-	-	-	-	A	-	-	-	-	-	-
Ultimate parent undertaking identifier	-	-	-	-	A	-	-	-	-	-	-
Ultimate parent undertaking identifier type ³⁾	-	-	-	-	A	-	-	-	-	-	-
Name	A	A	A	A	A	A	A	A	A	A	A
Address: street	A	A	A	A	A	A	A	A	A	A	-
Address: city/town/village	A	A	A	A	A	A	A	A	A	A	-
Address: county/administrative division	A	A	A	A	A	A	A	A	A	A	-
Address: postal code	A	A	A	A	A	A	A	A	A	A	-
Address: country	A	A	A	A	A	A	A	A	A	A	A
Legal form ^{7) 8)}	A	A	A	A	A	A	A	A	A	A	-
Code list type for legal form ^{3) 7)}	A	A	A	A	A	A	A	A	A	A	-
Institutional sector ⁸⁾	A	A	A	A	A	A	A	A	A	A	A
Code list type for institutional sector ³⁾	A	A	A	A	A	A	A	A	A	A	A
Economic activity	-	-	A	A	A	A	A	A	-	-	-
Status of legal proceedings ^{7) 8)}	-	-	-	-	A	-	-	-	-	-	-
Code list type for status of legal proceedings ^{3) 7)}	-	-	-	-	A	-	-	-	-	-	-
Date of initiation of legal proceedings ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Enterprise size ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Date of enterprise size ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Number of employees ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Balance sheet total ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Annual turnover ⁷⁾	-	-	-	-	A	-	-	-	-	-	-
Accounting standard ⁷⁾	A	-	-	-	-	-	-	-	-	-	-

Explanatory notes:

- 1) Resident in the reporting Member State under points 1 and 2 of Article 1 of Regulation (EU) No 2016/867.
- 2) Annex IV to Regulation (EU) No 2016/867.
- 3) The data item is provided for the purposes of ensuring technical requirements of the Register.
- 4) "A" indicates data are provided on the basis of Regulation (EU) No 2016/867.
- 5) "-" indicates data do not need to be provided on the basis of Regulation (EU) No 2016/867.
- 6) "N" indicates data do not need to be provided if not available; in such case, the values predefined by Národná banka Slovenska shall be used.
- 7) The data item is provided for legal entity entrepreneurs and other legal entities, including foreign branches.
- 8) For counterparties incorporated in Slovakia, data are provided in a more detailed breakdown than under Regulation (EU) 2016/867.

Counterparty reference data ¹⁾	Observed agent	Creditor	Debtor — All instruments originated prior to 1 September 2018	Debtor — At least one instrument originated at or after 1 September 2018	Protection provider	Head office undertaking	Immediate parent undertaking	Ultimate parent undertaking	Originator	Servicer
I ²⁾	b	C	d	e	f	g	h	i	j	k
Counterparty identifier	A ⁴⁾	A	A	A	A	A	A	A	A	A
Counterparty identifier type ³⁾	A	A	A	A	A	A	A	A	A	A
Legal Entity Identifier (LEI)	A	A	A	A	A	A	A	A	A	A
National identifier	A	A	A	A	A	A	A	A	A	A
National identifier type ³⁾	A	A	A	A	A	A	A	A	A	A
Description of other identifier type ³⁾	A	A	A	A	A	A	A	A	A	A
Establishment date ³⁾	N ⁶⁾	N	N	N	N	N	N	N	N	N
Termination date ³⁾	N	N	N	N	N	N	N	N	N	N
Head office undertaking identifier	- ⁵⁾	-	-	-	-	-	-	-	-	-
Head office undertaking identifier type ³⁾	-	-	-	-	-	-	-	-	-	-
Immediate parent undertaking identifier	-	-	-	-	-	-	-	-	-	-
Immediate parent undertaking identifier type ³⁾	-	-	-	-	-	-	-	-	-	-
Ultimate parent undertaking identifier	-	-	-	-	-	-	-	-	-	-
Ultimate parent undertaking identifier type ³⁾	-	-	-	-	-	-	-	-	-	-
Name	A	A	A	A	A	A	A	A	A	A
Address: street	A	A	A	A	A	A	A	A	A	-
Address: city/town/village	A	A	A	A	A	A	A	A	A	-
Address: county/administrative division	-	-	-	-	-	-	-	-	-	-
Address: postal code	A	A	A	A	A	A	A	A	A	-
Address: country	A	A	A	A	A	A	A	A	A	A
Legal form ⁷⁾	A	A	A	A	A	A	A	A	A	-
Code list type for legal form ^{3) 7)}	A	A	A	A	A	A	A	A	A	-
Institutional sector	A	A	A	A	A	A	A	A	A	-
Code list type for institutional sector ³⁾	A	A	A	A	A	A	A	A	A	-
Economic activity	-	-	-	-	-	-	-	-	-	-
Status of legal proceedings ⁷⁾	-	-	-	-	-	-	-	-	-	-
Code list type for status of legal proceedings ^{3) 7)}	-	-	-	-	-	-	-	-	-	-
Date of initiation of legal proceedings ⁷⁾	-	-	-	-	-	-	-	-	-	-
Enterprise size ⁷⁾	-	-	-	-	-	-	-	-	-	-
Date of enterprise size ⁷⁾	-	-	-	-	-	-	-	-	-	-
Number of employees ⁷⁾	-	-	-	-	-	-	-	-	-	-
Balance sheet total ⁷⁾	-	-	-	-	-	-	-	-	-	-
Annual turnover ⁷⁾	-	-	-	-	-	-	-	-	-	-
Accounting standard ⁷⁾	-	-	-	-	-	-	-	-	-	-

Explanatory notes:

- 1) Not resident in the reporting Member State under points 1 and 2 of Article of Regulation (EU) No 2016/867.
- 2) Annex IV to Regulation (EU) No 2016/867.
- 3) The data item is provided for the purposes of ensuring technical requirements of the Register.
- 4) "A" indicates data are provided on the basis of Regulation (EU) No 2016/867.
- 5) "-" indicates data do not need to be provided on the basis of Regulation (EU) No 2016/867.
- 6) "N" indicates data do not need to be provided if not available; in such case, the values predefined by Národná banka Slovenska shall be used.
- 7) The data item is provided for legal entity entrepreneurs and other legal entities, including foreign branches.

Counterparty reference data	Reporting agent	Observed agent	Creditor	Debtor — All instruments originated prior to 1 September 2018	Debtor — At least one instrument originated at or after 1 September 2018	Protection provider
	a	b	c	d	e	f
I ¹⁾						
Counterparty identifier		A ³⁾		A		A
Counterparty identifier type ²⁾		A		A		A
Legal Entity Identifier (LEI)		A		A		A
National identifier		A		A		A
Identifier type ²⁾		A		A		A
Description of other identifier type ²⁾		A		A		A
Name		A		A		A
Address: street		A		A		A
Address: city/town/village		A		A		A
Address: postal code		A		A		A
Address: country		A		A		A
Legal form ⁵⁾		A		A		A
Code list type for legal form ²⁾		A		A		A
Economic activity		- ⁴⁾		A		A

Explanatory notes:

- 1) Annex IV to Regulation (EU) No 2016/867.
- 2) The data item is provided for the purposes of ensuring technical requirements of the Register.
- 3) “A” indicates data are provided on the basis of Article 38 of Act No 483/2001 Coll. on banks (and amending certain laws), as amended.
- 4) “-” indicates data do not need to be provided.
- 5) For counterparties incorporated in Slovakia, data are provided in a more detailed breakdown than under Regulation (EU) 2016/867.

Extent of instrument data	Basic extent of instrument data under a separate regulation ²⁾ without any specific requirements being applied ³⁾	Observed agents that are not resident in a reporting Member State ⁴⁾	Observed agents not subject to capital requirements ⁵⁾	Fully derecognised instruments being serviced ⁶⁾	Instruments originating prior to 1 September 2018 ⁷⁾	Instruments with a specific threshold value; ⁸⁾ instruments provided to a natural person entrepreneur; ⁹⁾ instruments provided by the Export-Import Bank of the Slovak Republic ¹⁰⁾	Off-balance-sheet instruments ¹¹⁾
I ¹⁾	1	2	3	4	5	6	7
Table 1 - Instrument data							
Observed agent identifier	A ²⁰⁾	A	A	A	A	A	A
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	A
Contract identifier	A	A	A	A	A	A	A
Instrument identifier	A	A	A	A	A	A	A
Type of instrument	A	A	A	A	A	A	A
Status of the instrument ¹³⁾	A	A	A	A	A	A	A
Amortisation type	A	A	A	A	A	- ²¹⁾	-
Currency	A	A	A	A	A	A	A
Fiduciary instrument	A	A	A	A	A	-	-
Inception date	A	A	A	A	A	A	A
End date of interest-only period	A	A	A	A	A	-	-
Interest rate cap	A	A	A	-	A	-	-
Interest rate floor	A	A	A	-	A	-	-
Interest rate reset frequency	A	A	A	A	A	-	-
Interest rate spread/margin	A	A	A	A	A	A	-
Interest rate type	A	A	A	A	A	A	-
Legal final maturity date	A	A	A	A	A	A	A
Commitment amount at inception	A	A	A	A	A	A	-
Payment frequency	A	A	A	A	A	A	-
Project finance loan	A	A	A	A	A	-	-
Purpose	A	A	A	A	A	-	-
Recourse	A	A	A	A	A	-	-
Reference rate	A	A	A	A	A	A	-
Settlement date	A	A	A	A	A	-	-
Subordinated debt	A	A	A	A	A	-	-
Syndicated contract identifier	A	A	A	A	A	-	-
Repayment rights	A	A	A	A	A	-	-
Fair value changes due to changes in credit risk before purchase	A	A	A	-	A	-	-
Table 2 - Financial data							
Observed agent identifier	A	A	A	A	A	A	A
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	A
Contract identifier	A	A	A	A	A	A	A
Instrument identifier	A	A	A	A	A	A	A
Interest rate	A	A	A	A	A	A	-
Next interest rate reset date	A	A	A	A	A	-	-
Current instalment amount ¹⁴⁾	A	-	A	-	A	A	-
Default status of the instrument	A	A	A	A	A	A	-
Date of the default status of the instrument	A	A	A	A	A	A	-
Transferred amount	A	A	A	A	A	-	-

Arrears for the instrument	A	A	A	A	A	A	-
Interest arrears for the instrument ¹⁵⁾	A	-	A	-	A	A	-
Date of past due for the instrument	A	A	A	A	A	A	-
Type of securitisation	A	A	A	A	A	A	-
Outstanding nominal amount	A	A	A	A	A	A	-
Accrued interest	A	A	A	A	A	-	-
Off-balance-sheet amount	A	A	A	A	A	A	A
Table 3 - Counterparty-instrument data							
Observed agent identifier	A	A	A	A	A	A	A
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	A
Counterparty identifier	A	A	A	A	A	A	A
Counterparty identifier type ¹²⁾	A	A	A	A	A	A	A
Contract identifier	A	A	A	A	A	A	A
Instrument identifier	A	A	A	A	A	A	A
Counterparty role	A	A	A	A	A	A	A
Table 4 - Joint liabilities data							
Observed agent identifier	A	A	A	A	A	A	-
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	-
Counterparty identifier	A	A	A	A	A	A	-
Counterparty identifier type ¹²⁾	A	A	A	A	A	A	-
Contract identifier	A	A	A	A	A	A	-
Instrument identifier	A	A	A	A	A	A	-
Joint liability amount	A	A	A	A	A	A	-
Table 5 - Accounting data							
Observed agent identifier	A	A	A	A	A	A	A
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	A
Contract identifier	A	A	A	A	A	A	A
Instrument identifier	A	A	A	A	A	A	A
Accounting classification of instruments	A	A	A	-	A	-	-
Balance sheet recognition	A	A	A	A	A	-	-
Accumulated write-offs	A	A	A	-	A	A	-
Accumulated impairment amount	A	A	A	-	A	A	A
Type of impairment	A	A	A	-	A	A	-
Impairment assessment method	A	A	A	-	A	A	-
Sources of encumbrance	A	A	A	-	A	-	-
Accumulated changes in fair value due to credit risk	A	A	A	-	A	-	-
Performing status of the instrument	A	A	A	A	A	A	-
Date of the performing status of the instrument	A	A	A	A	A	A	-
Provisions associated with off-balance-sheet exposures	A	A	A	-	A	-	-
Status of forbearance and renegotiation	A	A	A	A	A	A	A
Date of the forbearance and renegotiation status	A	A	A	A	A	A	A
Cumulative recoveries since default	A	A	A	A	A	A	-
Prudential portfolio	A	A	-	-	A	-	-
Carrying amount	A	A	A	-	A	-	-
Loss given default ¹⁶⁾	A	-	-	-	A	A	A
Conversion factor ¹⁷⁾	A	-	-	-	A	A	A
Expected loss amount ¹⁸⁾	A	-	-	-	A	A	A
Risk weight ¹⁹⁾	A	-	-	-	A	A	A
Table 6 - Protection received data							
Observed agent identifier	A	A	A	A	A	A	-
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	-
Protection identifier	A	A	A	A	A	A	-
Protection provider identifier	A	A	A	A	A	A	-
Protection provider identifier type ¹²⁾	A	A	A	A	A	A	-
Type of protection	A	A	A	A	A	A	-
Protection value	A	A	A	A	A	A	-
Type of protection value	A	A	A	A	A	-	-
Protection valuation approach	A	A	A	A	A	-	-
Real estate collateral location	A	A	A	A	A	-	-

Date of protection value	A	A	A	A	A	-	-
Maturity date of the protection	A	A	A	A	A	-	-
Original protection value	A	A	A	A	-	A ²²⁾	-
Date of original protection value	A	A	A	A	-	-	-
Table 7 - Instrument-protection received data							
Observed agent identifier	A	A	A	A	A	A	-
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	-
Contract identifier	A	A	A	A	A	A	-
Instrument identifier	A	A	A	A	A	A	-
Protection identifier	A	A	A	A	A	A	-
Protection allocated value	A	A	A	A	A	A	-
Third party priority claims against the protection	A	A	A	A	A	-	-
Table 8 - Counterparty default data							
Observed agent identifier	A	A	A	A	A	A	A
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	A
Counterparty identifier	A	A	A	A	A	A	A
Counterparty identifier type ¹²⁾	A	A	A	A	A	A	A
Probability of default	A	A	A	-	A	A	A
Table 9 - Counterparty default data							
Observed agent identifier	A	A	A	A	A	A	-
Observed agent identifier type ¹²⁾	A	A	A	A	A	A	-
Counterparty identifier	A	A	A	A	A	A	-
Counterparty identifier type ¹²⁾	A	A	A	A	A	A	-
Default status of the counterparty	A	A	A	-	A	A	-
Date of the default status of the counterparty	A	A	A	-	A	A	-

Explanatory notes:

- 1) Annex IV to Regulation (EU) No 2016/867.
- 2) Article 1, point 23 and Article 5 of Regulation (EU) No 2016/867.
- 3) Specific statistical reporting requirements under Annex II of Regulation (EU) No 2016/867.
- 4) Specific statistical reporting requirements under Annex II point 1 of Regulation (EU) No 2016/867.
- 5) Specific statistical reporting requirements under Annex II point 2 of Regulation (EU) No 2016/867.
- 6) Specific statistical reporting requirements under Annex II point 3 of Regulation (EU) No 2016/867.
- 7) Specific statistical reporting requirements under Annex II point 4 of Regulation (EU) No 2016/867.
- 8) Article 1(5)(b) of this Decree.
- 9) Article 1(5)(c) of this Decree.
- 10) Article 1(5)(d) of this Decree.
- 11) Article 1(5)(e) of this Decree.
- 12) The data item is provided for the purposes of ensuring technical requirements of the Register.
- 13) Status that the instrument can acquire.
- 14) Contractually specified instalment amount in the reference period, including only the principal.
- 15) Aggregate amount of interest and any fee payment outstanding at the reporting date, which is contractually due and has not been paid (past due).
- 16) Loss given default under Articles 61, 164, 179, 181 and 183 of Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012 (OJ L 176, 27.6.2013), as amended.
- 17) Conversion factor for off-balance sheet exposures under Articles 143, 166 and 182 of Regulation (EU) No 575/2013.
- 18) Expected loss amount under Article 158 of Regulation (EU) No 575/2013.
- 19) Risk weight under Articles 114 to 134 and 153 to 157 of Regulation (EU) No 575/2013 with regard to Article 501 of Regulation (EU) No 575/2013.
- 20) "A" indicates data are provided on the basis of Regulation (EU) No 2016/867 or on the basis of Article 38 of Act No 483/2001 Coll. on banks (and amending certain laws), as amended.
- 21) "-" indicates data do not need to be provided on the basis of Regulation (EU) No 2016/867.
- 22) The data item is provided for instruments originated after 1 September 2018.

**Extent of data provided from the Register to banks, foreign bank branches,
and the Export-Import Bank of the Slovak Republic**

The following data from the Register are provided to banks, foreign bank branches, and the Export-Import Bank of the Slovak Republic in accordance with Article 38(3) of the Act:

(a) counterparty reference data, as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. Legal Entity Identifier (LEI),
4. national identifier,
5. national identifier type,
6. establishment date,
7. termination date,
8. head office undertaking identifier,
9. head office undertaking identifier type,
10. ultimate parent undertaking identifier,
11. ultimate parent undertaking identifier type,
12. name,
13. address: street,
14. address: city/town/village,
15. address: county/administrative division,
16. address: postal code,
17. address: country,
18. legal form,
19. institutional sector,
20. economic activity;

(b) data provided to the Register by the bank, the foreign bank branch, or the Export-Import Bank of the Slovak Republic;

(c) individual data on the instruments of the entrepreneur, legal entity, or fund with which, within the previous 12 months, the bank, foreign bank branch, or Export-Import Bank of the Slovak Republic concluded a contractual relationship concerning the instrument, as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. RIAD code,¹⁾
4. Legal Entity Identifier (LEI),
5. national identifier,
6. national identifier type,
7. name,
8. address: country,
9. aggregate number of debtor positions,
10. aggregate number of individual countries of the creditor,
11. aggregate number of individual types of instrument,
12. aggregate number of individual default statuses of the instrument,
13. aggregate number of individual default statuses of the counterparty,
14. contract identifier,²⁾
15. instrument identifier,²⁾
16. type of instrument,
17. amortisation type,
18. currency,
19. fiduciary instrument,

20. inception date,
21. legal final maturity date,
22. commitment amount at inception,
23. payment frequency,
24. project finance loan,
25. purpose,
26. recourse,
27. repayment rights,
28. current instalment amount,
29. default status of the instrument,
30. date of the default status,
31. transferred amount,
32. arrears for the instrument,
33. interest arrears for the instrument,
34. date of past due for the instrument,
35. outstanding nominal amount,
36. accrued interest,
37. off-balance-sheet amount,
38. joint liability amount,
39. accumulated write-offs,
40. performing status of the instrument,
41. date of the performing status of the instrument,
42. status of forbearance and renegotiation,
43. date of the forbearance and renegotiation status,
44. protection identifier,²⁾
45. type of protection,
46. protection value,
47. type of protection value,
48. date of protection value,
49. maturity date of the protection,
50. original protection value,
51. date of original protection value,
52. protection allocated value,
53. third party priority claims against the protection;

(d) aggregate data on the instruments of the entrepreneur, legal entity, or fund with which, within the previous 12 months, the bank, the foreign bank branch, or Export-Import Bank of the Slovak Republic concluded a contractual relationship concerning the instrument on behalf of potential clients³⁾ and their connected persons,⁴⁾ as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. name,
4. address: country,
5. country of the creditor,
6. type of instrument,
7. amortisation type,
8. currency,
9. fiduciary instrument,
10. commitment amount at inception,
11. payment frequency,
12. project finance loan,
13. purpose,
14. repayment rights,

15. current instalment amount,
16. default status of the instrument,
17. transferred amount,
18. arrears for the instrument,
19. interest arrears for the instrument,
20. outstanding nominal amount,
21. accrued interest,
22. off-balance-sheet amount,
23. off-balance-sheet amount - off-balance-sheet instruments,⁵⁾
24. accumulated write-offs,
25. performing status of the instrument,
26. status of forbearance and renegotiation,
27. type of protection,
28. protection value,
29. type of protection value,
30. original protection value,
31. protection allocated value,
32. default status of the counterparty.

Explanatory notes:

- 1) A unique code in the RIAD database maintained by the European Central Bank.
- 2) In contracts concluded with another bank, another foreign bank branch or the Export-Import Bank of the Slovak Republic, this data item is provided in such a way that it is not possible to identify the bank, foreign bank branch or the Export-Import Bank of the Slovak Republic which is a party to the given contract.
- 3) Potential client means a client
 - (a) which within the previous six months has filed a request for the instrument at the bank, the foreign bank branch, or the Export-Import Bank of the Slovak Republic; or
 - (b) which within the previous six months has expressed interest in the instrument or has been approached with an offer of the instrument, and this interest or approach is demonstrable and justified by the bank, the foreign bank branch, or the Export-Import Bank of the Slovak Republic; and
 - (c) which has met the condition under (a) or (b), and the data have not been used by the bank, the foreign bank branch or the Export-Import Bank of the Slovak Republic for advertising or marketing purposes.
- 4) Connected person means a person
 - (a) on which the bank focuses its monitoring activities related to credit risk management (for example, verification of the client, potential client, protection provider or connected group, or monitoring of the risk profile of the client, potential client, protection provider or connected group); or
 - (b) which is connected to the client or potential client by a participating interest, personnel, or economic ties; or
 - (c) which has supplier or customer links to the client or potential client; and
 - (d) which has met the condition under (a), (b) or (c), and the data have not been used by the bank, the foreign bank branch, or the Export-Import Bank of the Slovak Republic for advertising or marketing purposes.
- 5) For off-balance-sheet instruments, the aggregate value of off-balance sheet exposures is stated in a separate item.

Extent of the data provided from the Register to clients

The following data from the Register are provided to clients in accordance with Article 38(3) of the Act:

(a) client reference data, as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. Legal Entity Identifier (LEI),
4. national identifier,
5. national identifier type,
6. establishment date,
7. termination date,
8. head office undertaking identifier,
9. head office undertaking identifier type,
10. ultimate parent undertaking identifier,
11. ultimate parent undertaking identifier type,
12. name,
13. address: street,
14. address: city/town/village,
15. address: county/administrative division,
16. address: postal code,
17. address: country,
18. legal form,
19. institutional sector,
20. economic activity;

(b) individual data on client instruments, as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. RIAD code,¹⁾
4. Legal Entity Identifier (LEI),
5. national identifier,
6. national identifier type,
7. name,
8. address: country,
9. total number of debtor positions,
10. total number of individual countries of the creditor,
11. total number of individual types of instrument,
12. total number of individual default statuses of the instrument,
13. total number of individual default statuses of the counterparty,
14. name of the creditor,
15. contract identifier,
16. instrument identifier,
17. type of instrument,
18. amortisation type,
19. currency,
20. fiduciary instrument,
21. inception date,
22. legal final maturity date,
23. commitment amount at inception,
24. payment frequency,

25. project finance loan,
26. purpose,
27. recourse,
28. repayment rights,
29. current instalment amount,
30. default status of the instrument,
31. date of the default status,
32. transferred amount,
33. arrears for the instrument,
34. interest arrears for the instrument,
35. date of past due for the instrument,
36. outstanding nominal amount,
37. accrued interest,
38. off-balance-sheet amount,
39. joint liability amount,
40. accumulated write-offs,
41. performing status of the instrument,
42. date of the performing status of the instrument,
43. status of forbearance and renegotiation,
44. date of the forbearance and renegotiation status,
45. protection identifier,
46. type of protection,
47. protection value,
48. type of protection value,
49. date of protection value,
50. maturity date of the protection,
51. original protection value,
52. date of original protection value,
53. protection allocated value,
54. third party priority claims against the protection;

(c) aggregate data on client instruments, as follows:

1. counterparty identifier,
2. counterparty identifier type,
3. name,
4. address: country,
5. country of the creditor,
6. type of instrument,
7. amortisation type,
8. currency,
9. fiduciary instrument,
10. commitment amount at inception,
11. payment frequency,
12. project finance loan,
13. purpose,
14. repayment rights,
15. current instalment amount,
16. default status of the instrument,
17. transferred amount,
18. arrears for the instrument,
19. interest arrears for the instrument,
20. outstanding nominal amount,
21. accrued interest,

22. off-balance-sheet amount,
23. off-balance-sheet amount - off-balance-sheet instruments,²⁾
24. accumulated write-offs,
25. performing status of the instrument,
26. status of forbearance and renegotiation,
27. type of protection,
28. protection value,
29. type of protection value,
30. original protection value,
31. protection allocated value,
32. default status of the counterparty.

Explanatory notes:

- 1) A unique code in the RIAD database maintained by the European Central Bank.
- 2) For off-balance-sheet instruments, the aggregate value of off-balance sheet exposures is stated in a separate item.

Client request for data from the RegisterRegistration number of the request¹⁾

--

PART 1 Client data		
Full name or business name of the client ²⁾		
Registered office / place of business	street and street number	
	city/town and postcode	
	country	
Company registration number (IČO) ³⁾		
Tax identification number (DIČ) ⁴⁾		

PART 2 Data of the natural person authorised to act on behalf of the client ⁵⁾ (hereinafter the 'requestor')		
Full name of requestor		
Birth registration number / date of birth ⁶⁾		
Permanent residence address	street and street number	
	city/town and postcode	
	country	
Phone number ⁷⁾		
Email address ⁷⁾		

PART 3 Specification of request for data from the Register ¹²⁾	
Periods for which the data from the Register are required	<input type="checkbox"/> current status
	<input type="checkbox"/> for specified periods ⁸⁾
How the data from the Register are to be provided	<input type="checkbox"/> by mail
	<input type="checkbox"/> in person ⁹⁾
	<input type="checkbox"/> by the requestor
	<input type="checkbox"/> by an empowered person ¹⁰⁾
Date of payment of the fee for the provision of data from the Register	

I hereby declare that I am¹²⁾

- the statutory body, or
 a member of the statutory body, or
 another authorised representative of the client, a legal entity,
 and that I am a person authorised to act independently on behalf of the legal entity client, as
 referred to in Part 1, in regard to the filing a request for data from the Register of Bank
 Loans and Guarantees operated by Národná banka Slovenska or
 the client, a natural person entrepreneur.

Form for confirming the collection of data from the Register in personRegistration number of the request¹⁾

Collecting person²⁾		
Full name		
Birth registration number / date of birth ³⁾		
Permanent residence address	street and street number	
	city/town and postcode	
	country	
Phone number (optional)		
Email address (optional)		
ID document number		

Client requesting data from the Register⁴⁾		
Name or business name of the client ⁵⁾		
Registered office / place of business	street and street number	
	city/town and postcode	
	country	
Company registration number (IČO) ⁶⁾		

Full name and signature of the person who verified the data in the form

Full name and signature of the person who verified the data in the identity document

Done at Bratislava on

Signature of the collecting person:

Explanatory notes:

- 1) The boxes shaded grey are to be filled in at Národná banka Slovenska.
- 2) If the data from the Register are being collected in person, the data in these boxes must be identical to those stated in Part 2 of the "Client request for data from the Register". If the data from the Register are being collected by an empowered person, the data in these boxes must be identical to those stated in Part 2 of the "Power of attorney to collect data from the Register".
- 3) State only if assigned; otherwise state the requesting person's date of birth.
- 4) The client's data must be identical to the data stated in Part 1 of the "Client request for data from the Register".

- 5) State the name or business name of the legal entity, or the full name of the natural person entrepreneur and that person's business name if different from the full name.
- 6) State only if assigned; otherwise state another national identifier and its type.

Power of attorney to collect data from the Register

Registration number of the request¹⁾

PART 1²⁾

Requestor

Full name		
Birth registration number		
Date of birth		
Permanent residence address	street and street number	
	city/town and postcode	
	country	
ID document number		

PART 2³⁾

I hereby empower the following person to collect the data provided from the Register

Full name		
Birth registration number		
Date of birth		
Permanent residence address	street and street number	
	city/town and postcode	
	country	
Phone number		
ID document number		

This power of attorney is valid until, for a period not exceeding one year.

Done at on

Requestor

Full name and officially certified signature of the requestor

Space for the official certification of the requestor's signature.

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Empowered person

I accept this power of attorney in its entirety.

Full name and certified signature of the empowered person

Space for the official certification of the empowered person's signature.

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Explanatory notes:

- 1) The box shaded grey is to be filled in by the person who receives the request at Národná banka Slovenska.
- 2) The requestor's data must be identical to the data stated in Part 2 of the "Client request for data from the Register".
- 3) The data must be identical to the data of the collecting person stated in the "Power of attorney to collect data from the Register in person".